

Community & Economic Development Programs



**APPLICATION AND
TWO YEAR STATE PLAN
COMMUNITY SERVICES BLOCK GRANT PROGRAM
FY 2007 Through FY 2008**

**STATE OF ALABAMA
ALABAMA DEPARTMENT OF
ECONOMIC AND COMMUNITY AFFAIRS**

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Governor

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**STATE OF ALABAMA
DEPARTMENT OF ECONOMIC AND COMMUNITY AFFAIRS
DIRECTOR'S OFFICE OF COMMUNITY AND ECONOMIC
DEVELOPMENT PROGRAMS**

**MISSION STATEMENT
COMMUNITY SERVICES BLOCK GRANT PROGRAM**

Access, secure and utilize all available human, physical and financial resources for the purpose of ameliorating the causes of poverty, and to equip low-income people in Alabama with the resources needed to overcome barriers to self-sufficiency by assisting them in meeting basic needs and in acquiring the tools, skills and information essential to becoming model citizens with livable wage.

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I. Federal
Fiscal Years

II. Letter of
Transmittal

III. Executive
Summary

I. Federal Fiscal Year or Years Covered by this State Plan And Application

The Alabama State Plan will cover Fiscal Years 2007 and 2008

II. Letter of Transmittal

See cover letter

III. Executive Summary

According to the 2000 U.S. Census Bureau, the population in Alabama is 4,447,100 and the total state population in poverty is approximately 698,097 or 16.1 percent. Alabama's poverty rate continues to be one of the nations highest. Poverty affects an equal number of Alabamians of all races in both urban and rural areas. According to the Alabama County Data Book 2003, Alabama is a rural state with an estimated population of 4,486,508 in the year 2002. Alabama is the 28th largest state and covers 51,705 square miles. Alabama's neighbor to the north is Tennessee, to the west is Mississippi, to the east is Georgia, and to the south is the state of Florida and the Gulf of Mexico. Alabama is divided into 67 counties and 447 incorporated municipalities. Eleven of these counties have populations exceeding 100,000 and 43 have populations less than 50,000. Over one million of the residents in Alabama live in counties with populations less than 50,000. Over 50 percent of Alabama's population resides in the 11 most populated counties.

Alabama has seen a 10.1 percent change in population from 1990 to 2000. All but 12 counties in the state experienced growth during this time period. According to the Center for Business and Economic Research, The University of Alabama, Alabama's total population will reach 4,838,812 by 2010. The five highest growth rate counties are Shelby, Baldwin, Madison, Lee, and Mobile. Those counties seeing the greatest population losses were Calhoun, Dallas, Sumter, Perry, and Macon. All of these counties are considered Black Belt counties except Calhoun. The Black Belt counties include Bullock, Choctaw, Dallas, Greene, Hale, Lowndes, Macon, Marengo, Pickens, Perry, Sumter, and Wilcox (as defined by the Black Belt Commission).

The poverty rate for blacks is 31.3 percent; for whites 10.5 percent; and other races below poverty is 20.3 percent. For children under 18 years old, the poverty rate is 21.5 percent and for children under 5 years old it is 6.8 percent according to the 2000 Census figures. These rates are increasing every year with a steady rise in female head of households with children under 18 years old. The elderly in Alabama also suffer with a 15.5 percent poverty rate for persons age 65 and older.

A. CSBG State Legislation

The State's statutory authority is derived from Ala. Code §§ 11-96-1 through 11-96-6 (**Appendix E**) and specifies that 95 percent of the funds received from the Community Services Block Grant Act, Section 671 of the Omnibus Budget Reconciliation Act of 1981, shall be appropriated to community action agencies and certain other agencies as specified. The Alabama Code also defines the agencies and their responsibilities including service areas and participation with neighborhood-based organizations. Funds appropriated for annual distribution to community action agencies shall be in accordance with the size of the poverty level population and available resources in the state.

B. Designation of Lead State Agency to Administer the CSBG Program

The Legislature of Alabama designated the Department of Economic and Community Affairs (ADECA) to be the lead agency to administer the Community Services Block Grant in accordance with Ala. Code §§41-23-1 through 41-23-8. (**Appendix E**)

Designated State Lead Agency: Alabama Department of Community and Economic Affairs

Director of Designated State Agency: Doni M. Ingram, Acting Director

C. Public Hearing Requirements

(1) Public Hearing

The public hearing for the State of Alabama, Community Services Block Grant State Plan was held in three strategic locations throughout the state. The hearings were held in Birmingham on May 3, 2006; in Montgomery on May 5, 2006; and in Mobile on May 9, 2006. These locations were chosen because of easy accessibility to citizens in Alabama. The notice for these meetings was distributed in various newspapers and mailed to eligible entities. The announcement, sign-in sheets, and minutes of the meeting are attached in **Appendix A**.

(2) Legislative Hearing

A Legislative Hearing was conducted on August 21, 2006 by the ADECA Legislative Oversight Committee at the Alabama State House in Montgomery, Alabama. The minutes of the meeting and sign-in sheets are attached in **Appendix A-1**.

(3) Public Inspection of State Plan

Alabama has made the CSBG Fiscal Years 2007 through 2008 State Plan available for public inspection by placing a notice of its availability for review on the Alabama Department of Economic and Community Affairs web page, www.adeca.alabama.gov. State Plan changes are considered within applicable law and policies.

Copies are available from the Alabama Department of Economic and Community Affairs, Director's Office of Community and Economic Development Programs, 401 Adams Avenue, Montgomery, AL 36103-0104 or from any of the Alabama Community Action Agencies.

IV.

Statement of
Federal and
CSBG
Assurances

IV. Statement of Federal and CSBG Assurances

As part of the annual or biannual application the plan required by Section 676 of the Community Services Block Grant Act, as amended, (42 U.S.C. 9901 et seq.), the designee of the chief executive of the State hereby agrees to the assurances in Section 676 of the Act.

A. Programmatic Assurances

(1) Funds made available through this grant or allotment will be used:

- (a) To support activities that are designed to assist low-income families and individuals, including families and individuals receiving assistance under part A of title IV of the Social Security Act (42 U.S.C. 601 et seq.), homeless families and individuals, migrant or seasonal farmworkers, and elderly low-income individuals and families to enable the families and individuals to:
 - (i) remove obstacles and solve problems that block the achievement of self-sufficiency (including self-sufficiency for families and individuals who are attempting to transition off a State program carried out under part A of title IV of the Social Security Act);
 - (ii) secure and retain meaningful employment;
 - (iii) attain an adequate education, with particular attention toward improving literacy skills of low-income families in the communities involved, which may include carrying out family literacy initiatives;
 - (iv) make better use of available income;
 - (v) obtain and maintain adequate housing and a suitable living environment;
 - (vi) obtain emergency assistance through loans, grants, or other means to meet immediate and urgent family and individual needs; and
 - (vii) achieve greater participation in the affairs of the communities involved, including the development of public and private grassroots partnerships with local law enforcement agencies, local housing authorities, private foundations, and other public and private partners to document best practices based on successful grassroots intervention in urban areas, to develop methodologies for widespread replication; and strengthen and improve relationships with local law enforcement agencies, which may include participation in activities such as neighborhood or community policing efforts;

- (b) To address the needs of youth in low-income communities through youth development programs that support the primary role of the family, give priority to the prevention of youth problems and crime, and promote increased community coordination and collaboration in meeting the needs of youth, and support development and expansion of innovative community-based youth development programs that have demonstrated success in preventing or reducing youth crime, such as programs for the establishment of violence-free zones that would involve youth development and intervention models (such as models involving youth mediation, youth mentoring, life skills training, job creation, and entrepreneurship programs); and after-school child care programs; and
 - (c) To make more effective use of, and to coordinate with, other programs (including State welfare reform efforts). ['676(b)(1)]
- (2) To describe how the State intends to use discretionary funds made available from the remainder of the grant or allotment described in Section 675C(b) of the Act in accordance with the community services block grant program, including a description of how the State will support innovative community and neighborhood-based initiatives related to the purposes of the Community Services Block Grant program; ['676(b)(2)]
- (3) To provide information provided by eligible entities in the State, including:
 - (a) a description of the service delivery system, for services provided or coordinated with funds made available through grants made under Section 675C(a) of the Act, targeted to low-income individuals and families in communities within the State;
 - (b) a description of how linkages will be developed to fill identified gaps in services, through the provision of information, referrals, case management, and follow-up consultations;
 - (c) a description of how funds made available through grants made under Section 675(a) will be coordinated with other public and private resources; and,
 - (d) a description of how local entities will use the funds to support innovative community and neighborhood-based initiatives related to the purposes of the Community Services Block Grant, which may include fatherhood initiatives and other initiatives with the goal of strengthening families and encouraging effective parenting. ['676(b)(3)]
- (4) To ensure that eligible entities in the State will provide, on an emergency basis, for the provision of such supplies and services, nutritious foods, and related services, as may be necessary to counteract conditions of starvation and malnutrition among low-income individuals. ['676(b)(4)]

- (5) That the State and the eligible entities in the State will coordinate, and establish linkages between, governmental and other social services programs to assure the effective delivery of such services to low-income individuals and to avoid duplication of such services, and State and the eligible entities will coordinate the provision of employment and training activities in the State and in communities with entities providing activities through state-wide and local workforce investment systems under the Workforce Investment Act of 1998; [676(b)(5)]
- (6) To ensure coordination between antipoverty programs in each community in the State, and ensure, where appropriate, that emergency energy crisis intervention programs under title XXVI (relating to low-income home energy assistance) are conducted in such communities. [676(b)(6)]
- (7) To permit and cooperate with Federal investigations undertaken in accordance with Section 678D of the Act. [676(b)(7)]
- (8) That any eligible entity in the State that received funding in the previous fiscal year through a Community Services Block Grant under the Community Services Block Grant program will not have its funding terminated under this subtitle, or reduced below the proportional share of funding the entity received in the previous fiscal year unless, after providing notice and an opportunity for a hearing on the record, the State determines that cause exists for such termination or such reduction, subject to review by the Secretary as provided in Section 678C(b) of the Act. [676(b)(8)]
- (9) That the State and eligible entities in the State will, to the maximum extent possible, coordinate programs with and form partnerships with other organizations serving low-income residents of the communities and members of the groups served by the State, including religious organizations, charitable groups, and community organizations. [676(b)(9)]
- (10) To require each eligible entity in the State to establish procedures under which a low-income individual, community organization, or religious organization, or representative of low-income individuals that considers its organization, or low-income individuals, to be inadequately represented on the board (or other mechanism) of the eligible entity to petition for adequate representation. [676(b)(10)]
- (11) To secure from each eligible entity in the State, as a condition to receipt of funding, a community action plan (which shall be submitted to the Secretary, at the request of the Secretary, with the State plan) that includes a community-needs assessment for the community served, which may be coordinated with community-needs assessments conducted for other programs; [676(b)(11)]
- (12) That the State and all eligible entities in the State will, not later than fiscal year 2001, participate in the Results Oriented Management and Accountability System, another performance measure system for which the Secretary facilitated development pursuant to Section 678E(b) of the Act. [676(b)(12)]
- (13) To provide information describing how the State will carry out these assurances. [676(b)(13)] (This is the Narrative CSBG State Plan)

B. Administrative Assurances

The State further agrees to the following, as required under the Act:

- (1) To submit an application to the Secretary containing information and provisions that describe the programs for which assistance is sought under the Community Services Block Grant program prepared in accordance with and containing the information described in Section 676 of the Act. [675A(b)]
- (2) To use not less than 90 percent of the funds made available to the State by the Secretary under Section 675A or 675B of the Act to make grants to eligible entities for the stated purposes of the Community Services Block Grant program and to make such funds available to eligible entities for obligation during the fiscal year and the succeeding fiscal year, subject to the provisions regarding recapture and redistribution of unobligated funds outlined below. [675C(a)(1) and (2)]
- (3) In the event that the State elects to recapture and redistribute funds to an eligible entity through a grant made under Section 675C(a)(1) when unobligated funds exceed 20 percent of the amount so distributed to such eligible entity for such fiscal year, the State agrees to redistribute recaptured funds to an eligible entity, or require the original recipient of the funds to redistribute the funds to a private, nonprofit organization, located within the community served by the original recipient of the funds, for activities consistent with the purposes of the Community Services Block Grant program. [675C(a)(3)]
- (4) To spend no more than the greater of \$55,000 or 5 percent of its grant received under Section 675A or the State allotment received under Section 675B for administrative expenses, including monitoring activities. [675C(b)(2)]
- (5) In states with a charity tax credit in effect under state law, the State agrees to comply with the requirements and limitations specified in Section 675(c) regarding use of funds for state-wide activities to provide charity tax credits to qualified charities whose predominant activity is the provision of direct services within the United States to individuals and families whose annual incomes generally do not exceed 185 percent of the poverty line in order to prevent or alleviate poverty among such individuals and families. [675(c)]
- (6) That the lead agency will hold at least one hearing in the State with sufficient time and state-wide distribution of notice of such hearing, to provide to the public an opportunity to comment on the proposed use and distribution of funds to be provided through the grant or allotment under Section 675A or 675B for the period covered by the State plan. [676(a)(2)(B)]

- (7) That the chief executive officer of the State will designate, an appropriate State agency for purposes of carrying out State Community Services Block Grant program activities. [676(a)(1)]
- (8) To hold at least one legislative hearing every three years in conjunction with the development of the State plan. [676(a)(3)]
- (9) To make available for the public inspection each plan or revised State plan in such a manner as will facilitate review of and comment on the plan. [676(e)(2)]
- (10) To conduct the following reviews of eligible entities:
 - (a) full onsite review of each such entity at least once during each three-year period;
 - (b) an onsite review of each newly designated entity immediately after the completion of the first year in which such entity receives funds through the Community Services Block Grant program;
 - (c) follow-up reviews including prompt return visits to eligible entities, and their programs, that fail to meet the goals, standards, and requirements established by the State;
 - (d) other reviews as appropriate, including reviews of entities with programs that have had other Federal, State, or local grants (other than assistance provided under the Community Services Block Grant program) terminated for cause. [678B(a)]
- (11) In the event that the State determines that an eligible entity fails to comply with the terms of an agreement or the State plan, to provide services under the Community Services Block Grant program or to meet appropriate standards, goals, and other requirements established by the State (including performance objectives), the State will comply with the requirements outlined in Section 678C of the Act, to:
 - (a) inform the entity of the deficiency to be corrected;
 - (b) require the entity to correct the deficiency;
 - (c) offer training and technical assistance as appropriate to help correct the deficiency, and submit to the Secretary a report describing the training and technical assistance offered or stating the reasons for determining that training and technical assistance are not appropriate;
 - (d) at the discretion of the State, offer the eligible entity an opportunity to develop and implement, within 60 days after being informed of the deficiency, a quality improvement plan and to either approve the proposed plan or specify reasons why the proposed plan cannot be approved;
 - (e) after providing adequate notice and an opportunity for a hearing, initiate proceedings to terminate the designation of or reduce the funding to the eligible entity unless the entity corrects the deficiency. [678(C)(a)]
- (12) To establish fiscal controls, procedures, audits and inspections, as required under Sections 678D(a)(1) and 678D(a)(2) of the Act.

- (13) To repay to the United States amounts found not to have been expended in accordance with the Act, or the Secretary may offset such amounts against any other amount to which the State is or may become entitled under the Community Services Block Grant program. [678D(a)(3)]
- (14) To participate, by October 1, 2001, and ensure that all eligible entities in the State participate in the Results-Oriented Management and Accountability (ROMA) System. [678E(a)(1)]
- (15) To prepare and submit to the Secretary an annual report on the measured performance of the State and its eligible entities, as described under '678E(a)(2) of the Act.
- (16) To comply with the prohibition against use of Community Services Block Grant funds for the purchase or improvement of land, or the purchase, construction, or permanent improvement (other than low-cost residential weatherization or other energy-related home repairs) of any building or other facility, as described in Section 678F(a) of the Act.
- (17) To ensure that programs assisted by Community Services Block Grant funds shall not be carried out in a manner involving the use of program funds, the provision of services, or the employment or assignment of personnel in a manner supporting or resulting in the identification of such programs with any partisan or nonpartisan political activity or any political activity associated with a candidate, or contending faction or group, in an election for public or party office; any activity to provide voters or prospective voters with transportation to the polls or similar assistance with any such election, or any voter registration activity. [678F(b)]
- (18) To ensure that no person shall, on the basis of race, color, national origin or sex be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity funded in whole or in part with Community Services Block Grant program funds. Any prohibition against discrimination on the basis of age under the Age Discrimination Act of 1975 (42 U.S.C. 6101 et seq.) or with respect to an otherwise qualified individual with a disability as provided in Section 504 of the Rehabilitation Act of 1974 (29 U.S.C. 12131 et seq.) shall also apply to any such program or activity. [678F(c)]
- (19) Section 679. Operational Rule.
 - "(a) *Religious Organizations Included as Nongovernmental Providers.*---For any program carried out by the Federal Government, or by a State or local government under this subtitle, the government shall consider, on the same basis as other non-governmental organizations, religious organizations to provide the assistance under the program, so long as the program is implemented in a manner consistent with the Establishment Clause of the first amendment of the Constitution. Neither the Federal Government nor a State or local government receiving funds under this subtitle shall discriminate against an organization that provides assistance under, or applies to provide assistance under, this subtitle, on the basis that the organization has a religious character.

(b) Religious Character and Independence.

(1) In General.---A religious organization that provides assistance under a program described in subsection (a) shall retain its religious character and control over the definition, development, practice, and expression of its religious beliefs.

(2) Additional Safeguards.---Neither the Federal Government nor a State or a local government shall require a religious organization---

(A) to alter its form of internal governance, except (for purposes of administration of the Community Services Block Grant program) as provided in Section 676B; or

(B) to remove religious art, icons, scripture, or other symbols; in order to be eligible to provide assistance under a program described in subsection (a).

(3) Employment Practices.---A religious organization's exemption provided under Section 702 of the Civil Rights Act of 1964 (42 U.S.C. 2000e-1) regarding employment practices shall not be affected by its participation in, or receipt of funds from, program described in subsection (a).

(c) Limitations on Use of Funds for Certain Purposes---

No funds provided directly to a religious organization to provide assistance under any program described in subsection (a) shall be expended for sectarian worship, instruction, or proselytization.

(d) Fiscal Accountability.---

(1) In General.---Except as provided in paragraph (2), any religious organization providing assistance under any program described in subsection (a) shall be subject to the same regulations as other nongovernmental organizations to account in accord with generally accepted accounting principles for the use of such funds provided under such program.

(2) Limited Audit.---Such organization shall segregate government funds provided under such program into a separate account. Only government funds shall be subject to audit by the government.

(e) Treatment of Eligible Entities and Other Intermediate Organizations.---If an eligible entity or other organization (referred to in this subsection as an `intermediate organization), acting under a contract, or grant or other agreement, with the Federal Government or a State or local government, is given the authority under the contract or agreement to select nongovernmental organizations to provide assistance under the programs described in subsection (a), the intermediate organization shall have the same duties under this section as the government."

C. Other Administrative Certifications

The State also certifies the following:

- (1) To provide assurances that cost and accounting standards of the Office of Management and Budget (OMB Circular A-110 and A-122) shall apply to a recipient of Community Services Block Grant program funds.
- (2) To comply with the requirements of Public Law 103-227, Part C Environmental Tobacco Smoke, also known as the Pro-Children Act of 1994, which requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, education, or library services to children under the age of 18 if the services are funded by a Federal grant, contract, loan or loan guarantee. The State further agrees that it will require the language of this certification be included in any subawards, which contain provisions for children's services and that all subgrantees shall certify accordingly.

Signature

Administrator/Director of Designated Lead Agency

Date

V. Narrative State Plan

V. The Narrative State Plan

A. Administrative Structure

(1) State Administrative Agency –

(a) Mission and Responsibilities of Lead Agency

The Alabama Department of Economic and Community Affairs (ADECA) was created by Ala. Code §§41-23-1 through 41-23-8 as a planning and grants management agency with functions ranging from surplus property management to economic development and resource management. The department was organized under the direction, supervision, and management of the Governor's Office, making the director a cabinet level appointee.

The primary function of the divisions of the department and the Director's Office of Community and Economic Development Programs are delineated below.

DIRECTOR'S OFFICE OF COMMUNITY AND ECONOMIC DEVELOPMENT PROGRAMS (CED) –

Primarily promotes community, economic and social development through grants and technical assistance grants to communities for infrastructure improvement, and human resources empowerment. The CED Programs include the following:

1. Community Services Block Grant Program (CSBG)
2. Residential Energy Assistance Challenge Option Program (REACH)
3. Community Development Block Grant Program (CDBG)
3. Emergency Shelter Grant Program (ESG)
4. Disaster Assistance Programs

The Director's Office of Community and Economic Development Programs manages the CSBG and REACH Programs. The Director's Office also administers several U. S. Department of Housing and Urban Development programs such as CDBG, Disaster Assistance, and ESG Programs. The ESG Program also provides technical assistance and supportive services to the balance of the State Continuum of Care.

OTHER ADECA DIVISIONS AND PROGRAMS:

ENERGY, WEATHERIZATION AND TECHNOLOGY DIVISION –

Promotes technology transfer, energy conservation, and waste recycling. The Energy Section of this division manages the Low Income Home Energy Assistance Program (LIHEAP) and the Low Income Weatherization Assistance Program (LIWAP) funded by HHS and a Weatherization Program funded by U.S. Department of Energy.

LAW ENFORCEMENT AND TRAFFIC SAFETY DIVISION – Provides grant programs and technical assistance to law enforcement agencies and other public safety organizations for drug prevention programs, victims services programs, and programs for juvenile offenders.

OFFICE OF WATER RESOURCES – Promotes the appropriate use of state water and resources, drought management, and other education and outreach programs.

OFFICE OF WORKFORCE DEVELOPMENT DIVISION – Implements the Workforce Investment Act funded by the U.S. Department of Labor and Alabama's Career Center System.

SURPLUS PROPERTY DIVISION - Provides public and not-for-profit agencies with easy access to government surplus equipment at a reasonable cost.

ADMINISTRATIVE DIVISION of ADECA is responsible for the fiscal and audit operations for the department. When warranted or necessary, ADECA's audit review staff also conducts audits of the books of individual community action agencies. This section also reviews annual audits and fiscal records for each eligible agency contracted with ADECA. The last examiner audit of the ADECA records, including the CSBG Program, was conducted for the period October 1, 2004 through September 30, 2005.

An ADECA organizational chart is provided as **Appendix B**, and CED organizational chart inclusive.

(b) Goals and Objectives

Making Alabama a better place to live, work and play is at the heart of what the Alabama Department of Economic and Community Affairs does every day. ADECA administers programs that touch the lives of thousands of citizens in Alabama. The employees of ADECA work diligently to assist low-income individuals, families, children, seniors, as well as the disadvantaged and persons with disabilities. ADECA also assists small and large businesses, high-tech industries, schools and

colleges, non-profit agencies, and state and local governments to cultivate programs that train, educate and employ Alabamians. ADECA's mission is to serve all our citizens by effectively and efficiently utilizing our resources to meet economic and community needs.

ADECA has a dedicated staff of professionals that team with elected officials, private industries and business, non-profit organizations, and community representatives in all 67 counties to devise strategies to strengthen our state and improve the lives of all Alabama citizens. ADECA is the out-stretched arm of the state government that reaches communities and companies across the state with programs that make a difference in the lives of Alabamians from all walks of life. ADECA is a conduit through which federal programs and federal funding are delivered to the communities, governments, institutions, and citizens that need a helping hand to initiate or continue worthwhile projects.

ADECA promotes progress. The department works to enhance the quality of life for Alabama citizens by coordinating programs that upgrade roads, water systems, and sewer systems. The department strives to make homes, schools, and streets safer by administering grants that assist law enforcement agencies, comfort victims of crime, promote safety and educate citizens. The department seeks out partnerships statewide to improve education, provide job-training and assist business and industry to be more competitive, more profitable, and more valuable to the community as a source of higher paying jobs and brighter futures for Alabama's workers and their families.

The department promotes and supports services that protect the environment through recycling, energy conservation, and waste minimization. The department works to preserve the beauty of our state, develop its recreational, wildlife, and scenic areas and ensure that water and other natural resources will be available for future generations of Alabamians.

(2) Eligible Entities

- (a) There are currently twenty-two (22) eligible entities in the State of Alabama. These include twenty-one (21) Community Action Agencies and one (1) limited purpose agency. They are as listed in **Appendix C**.
- (b) Geographic areas served are also shown on **Appendix D**. All sixty-seven (67) counties are served by an eligible entity.

(3) Distribution and Allocation of Funds

(a) Planned Distribution of Funds for Current Fiscal Year.

Ala. Code §§11-96-1 through 11-96-6 (1994) requires ADECA to provide 95 percent of its CSBG funds to community action agencies.

(Appendix E)

Therefore, ADECA retains only five percent of the CSBG funds for administrative purposes, to assist in building networks, to identify resources, and to provide technical assistance. The balance will be allocated to the state's 22 CSBG eligible entities to provide assistance statewide to enable Alabama's low-income residents to become more self-sufficient. These funds are allocated on the basis of the percentage of the state's low-income residents that reside in the eligible entity's geographic service area (poverty percentage). Therefore, ADECA uses five percent of the CSBG allotment for administrative and indirect (3.02 percent of the allotment) cost.

B. Description of Criteria and Distribution Formula

Community Services Block Grant (CSBG) funds are distributed through twenty-two (22) eligible entities to include twenty-one (21) community action agencies and one limited purpose agency. Distribution of funds is in accordance with the Alabama Code §11-96-1 — 11-96-2 and the CSBG Act utilizing the current poverty guidelines and the 2000 census data. The law collectively requires that 95 percent of the allocation be passed through the eligible entities to low-income persons in accordance with poverty guidelines. Limitations on carry-over balances are as follows; twenty percent of allocated funds may be carried over and used towards the next year's costs.

Funding for FY2007/2008 is estimated at \$800,000 to the Community Action Agency Association of Alabama from the Alabama State General Fund, and the FY2007/2008 CSBG appropriation is approximated \$11.5 million annually.

C. Description of Distribution and Use of Restricted Funds

Due to the requirements of Alabama Code §11-96-1 through 11-96-6 (1994) ADECA does not have restricted funds to distribute.

D. Description and Use of Discretionary Funds

Due to the requirements of Ala. Code §11-96-1 through 11-96-6 (1994), ADECA has no discretionary funds to distribute.

E. Description and Use of Administrative Funds

Section 675(b)(2) of the Act specifies that no State may use more than the greater of \$55,000 or 5 percent of its grant or allotment for administrative, including monitoring activities.

By virtue of state law, 5 percent of the CSBG allocation is reserved for state administration, while 95 percent of the allocation is distributed to the eligible entities. Of the 5 percent set aside for administration, indirect costs are charged at a rate of 3.02 percent with 1.98 percent of funds available for direct administrative costs. Services provided through that amount include technical assistance, monitoring, invoice review and payment, reporting and training.

F. State Community Service Program Implementation

1. Program Overview

- (a) The Service Delivery System - The provision of CSBG services to individual low-income persons statewide is carried out primarily through Alabama's CSBG eligible entities (community action agencies). In accordance with Ala. Code §11-96-1 through 11-96-6 (1994), ADECA will provide 95 percent of its CSBG allocation to these twenty-two (22) community action agencies for the provision of services in each applicable CSBG assurance area. These agencies provide services to low-income people in each of the state's sixty-seven (67) counties. See **Appendix C** for a list of eligible community action agencies. Each community action agency at a minimum, provides information and referral related services to customers needing assistance. ADECA will assist in identifying and developing resources and provide technical assistance to the agencies.

- (b) Linkages - A programmatic element of the work plans submitted by the community action agencies will include the development of linkages and cooperative agreements locally.

Each agency will be required to submit a list of the linkages and agreements that they have established. ADECA, through technical assistance and monitoring, as well as thorough workshops, will continue to foster the development of the local linkages that will fill gaps in services.

- (c) Coordination with Other Public and Private Resources - Other public and private resources of funding are submitted with estimated amounts leveraged as part of each community action agency's work plan. These plans were due to the State on July 7, 2006. The State requires each local entity to submit coordination plans for their grant activity. The coordination plan describes how local entities have conferred with area service providers and established working relationships, how linkages have been developed to fill identified gaps in services, how funding will be coordinated with other public and private resources, and how all the above will result in a multi-program impact on the client's progress towards self-sufficiency. As the State becomes aware of available grants, the information will be disseminated to the community action agency.
- (d) Innovative Community and Neighborhood-based Initiatives – Local entities use these funds to support innovative community and neighborhood-based initiatives related to the purpose of the CSBG, including fatherhood initiatives and other initiatives with the goal of strengthening families and encouraging effective parenting.

A community action agency created a partnership with the local housing board, workforce investment board, and the county health department to create the Bridge Transitional/Homeless Program. The agency received Community Development Block Grant funds to rehabilitate houses that were in the process of being foreclosed. Several homes have been restored and families have moved into the homes. The program provides a safe environment for families and the workforce investment board works with the families to obtain and maintain employment. Currently approximately eight families are on the waiting list to participate in this program.

2. Community Needs Assessment

Each grantee is required to submit a community action plan as a condition of funding. Each agency is responsible for conducting or securing its own needs assessment. The needs assessment of each agency describes how the assessment was conducted and provides aggregate results. The goals and objectives of each agency are based upon the findings of the assessment. The State realizes that agencies require flexibility in conducting local needs assessment, as each area varies in the resources available and the services provided.

3. Tripartite Boards

In accordance with Section 676(b)(10) of the CSBG Act, as a condition for funding fiscal years 2007 and 2008, each community action agency is required to include a provision in its bylaws which allows organizations not represented on the board to petition the board of directors for representation. The work plans from the community action agencies will not be considered complete until verification of this provision can be made.

Alabama's twenty-two (22) CSBG eligible entities administer their Community Services Block Grant Programs under the direction and exclusive control of a tripartite governing board. Each of these boards was established in accordance with Ala. Code §11-96-3 (1994) and the Community Services Block Grant Act as amended. The State statute requires each governing board of a community action agency to consist of not less than fifteen (15) members and not more than fifty-one (51) members.

The actual size of these boards varies considerably, but each maintains its composition in accordance with the State statute and the Community Services Block Grant Act as amended. Each board is composed of representatives of governmental entities, private groups and low-income sectors that have jurisdiction over the area being represented, as required by the CSBG Act. More specifically, these boards are structured in the following manner.

Each of these agencies is managed by a governing board that consists of the following:

1. One third of the members are governmental officials or their designees.
2. At least one third of the members are representatives of the poor. These representatives must reside in the low-income neighborhood being represented.
3. The remaining balance of the members is made up of the community, business, religion, and other interested groups.

4. State Charity Tax Programs

The State of Alabama does not have a state charity tax program.

G. Programmatic Assurances

Describe how each of the assurances outlined in Section 676(b) of the CSBG Act will be carried out, as follows:

a) Assurances 676 (b) (1):

Funds made available through the CSBG grant or allotment will be used:

(1) To support activities that are designed to assist low-income families and individuals, including families and individuals receiving assistance under Part A of the Title IV of the Social Security Act (42 U.S.C. 601 et seq.), homeless families and individuals, migrant seasonal farm workers, and elderly low-income individuals and families to enable families and individuals to:

(i) remove obstacles and solve problems that block the achievement of self-sufficiency (including self-sufficiency for families and individuals who are attempting to transition off a state program carried out under Part A of Title IV of the Social Security Act);

The goal of Community Actions Agencies (CAAs) in Alabama is to provide a variety of services to move families and individuals to self-sufficiency. A few of the services provided by several of the Community Action Agencies include the following:

- Coordinate Family Development Programs to support low-income individuals on self-sufficiency achievement through active case management, job development, financial management and credit counseling, homeownership counseling, education and Adult Basic Education/GED attainment.
- Provide Individual Development Accounts which helps participants focus on short and long-term financial goals, and encourages savings and asset building. Clients can then manage their finances in order to purchase their first home, pay for post-secondary education, and/or start a small business.
- Provide Early Head Start for infants and toddlers to enable family members and single mothers the opportunity to find a job and maintain employment without worrying about daycare needs.

(ii) secure meaningful employment;

CAAs in Alabama provide job training/job readiness skill workshops for low-income individuals by coordinating with the local Alabama Employment Service Offices and other community partners to provide the following services:

- Provide instruction on interviewing techniques, resume preparation, and completing employment applications.
- Assist youth in obtaining summer employment and preparing for applying for college and/or other post-graduate vocational opportunities.
- CAAs provide referrals to Alabama Workforce Development One Stop Shop/Career Centers for individual assessment needs in job counseling, job development and on-the-job training.
- Head Start programs provide after school programs including childcare for low-income parents to acquire and maintain employment or for parents to attend school.
- CAAs maintain linkages with city and county governments, chambers of commerce, and other local entities to create new and/or expanded employment and training opportunities for low-income individuals.

(iii) attain an adequate education, with particular attention toward improving literacy skills of low-income families in the communities involved, which may include carrying out family literacy initiatives;

Alabama Community Action Agencies are expected to adopt policies to refer clients to education programs that increase literacy, improve parenting skills, and address the needs of children in poverty. Activities geared to attaining adequate education include but are not limited to:

- Early Childhood Family Education programs, Adult Basic Education programs, and other life-long learning opportunities.
- Computer technology training for low-income participants including the use of computers to enhance their ability in obtaining employment or job advancement.
- ACT/SAT college preparation training for low-income students.

(iv) make better use of available income;

Activities and services provided by several CAAs for low-income families to assist them in better management of their available income includes:

- Energy counseling to help low-income families with energy saving education and efficient energy usage through the Low Income Housing Energy Assistance Program (LIHEAP) and Community Service Development Grant program counseling and budget/income management to help families develop budgets.
- Housing and Urban Development Counseling Programs are offered to potential first time homebuyers and low and moderate income renters.
- Inform the public on the Earned Income Tax Credit (EITC) to help families make better use of available income which involves promoting low-income people using eligible tax credits.
- Gabriel Programs counsel seniors (age 60 and older) in maintaining the maximum amount of independence possible by assisting them with managing their monthly Social Security and Supplemental Security Income benefits (SSI). A variety of the services available include assistance with balancing bank account statements, check writing, developing a household budget, and negotiating payment plans with creditors.

(v) obtain and maintain adequate housing and a suitable living environment;

Many of the agencies provide services that assist in the attainment and management of adequate housing. Some community action agency programs that address homelessness are as follows.

- Many CAAs in Alabama receive a U. S. Department of Housing and Urban Development grant (HUD) to provide a certified housing counselor to counsel and assist people in the process of home pre-purchase approval for first time homebuyers.
- Several agencies partner with local homeless/domestic violence shelters to provide temporary housing and improved living conditions for the homeless.

- Habitat for Humanity partners with CAAs across the State to build homes for low-income families to purchase.
- Several CAAs have received a Rural Development Housing Preservation Grant to assist eligible homeowners with housing repairs to bring their homes up from substandard to livable standards.

(vi) obtain emergency assistance through loans, grants or other means to meet immediate and urgent family and individual needs;

One of the central roles of Alabama community action is to support people as they fulfill their basic needs for food, clothing, and shelter. The CAAs in Alabama recognize that until urgent basic needs are met, permanent solutions to leaving poverty will be unattainable for most people. For the purpose of this plan, a few are highlighted as follows:

- Emergency Assistance is provided through vendor payments using LIHEAP resources to fund emergency energy payments and crisis intervention. LIHEAP is issued for local participants to provide emergency assistance with payment of utility bills and the purchase of wood and coal for winter heating and summer cooling.
- CAAs refer low-income people to agencies such as Salvation Army, United Way, faith-based organizations, and food pantries for basic needs.
- CAA coordinates with West Alabama Food Bank for distribution of donated food each month to low-income people.
- CAAs refer participants to local agencies, such as Goodwill Industries and churches to provide needed clothing, household items and appliances and other goods and services.
- LIHEAP also helps meet the emergency needs of low-income residents, especially the elderly and disabled, confronted with one time emergencies due to disasters, unemployment, illness or other crisis situations.
- Some agencies also provide one-time payments to eligible persons for temporary shelter, food, clothing and fuel.
- Emergency Assistance is also provided to assist hurricane victims with immediate assistance as well as providing referrals to families.

(vii) achieve greater participation in the affairs of the communities involved, including the development of public and private grassroots partnerships with local law enforcement agencies, local housing authorities, private foundations and other public and private partners to document best practices based on successful grassroots intervention in urban areas, to develop methodologies for widespread replication; and strengthen and improve relationships with local law enforcement agencies, which may include participation in activities such as neighborhood or community policing efforts.

Activities designed to achieve **greater** participation and partnerships with **local law** enforcement agencies include:

- The Alabama **Family** Protection Unit has initiated a **statewide** crackdown on child pornography and internet **crimes** against children.
- The Alabama Department of Public Safety, in compliance with the Community Notification Act, provides public access through its Internet website for information regarding the current addresses of criminal sex offenders.
- The Family Violence Center, Hannah Home East, and Project Safe provide support groups, legal assistance, and a crisis hotline for victims of abuse.
- Agencies coordinate and provide information and referrals to the Alabama Department of Human Resources and the Alabama Department of Public Safety to inform and report crimes of abuse and neglect of youth at risk.

(2) To address the needs of youth in low-income communities through youth development programs that support the primary role of the family, give priority to the prevention of youth problems and crime, and promote increased community coordination and collaboration in meeting the needs of youth and support development and expansion of innovative community-based youth development programs that have demonstrated success in preventing or reducing youth crime, such as programs for the establishment of violence-free zones that would involve youth development and intervention models (such as models involving youth mediation, youth mentoring, life skills training, job creation and entrepreneurship programs); and after school child care programs; and

Last year the Community Action Report showed that thousands of youth were served through a variety of education, employment, and prevention activities. A few of the specific programs are highlighted as follows:

- A CAA received a Head Start Youth Mentoring grant for youth to spend two to three hours in a Head Start Center working with children and staff.
- Several agencies throughout the state offer Fatherhood Programs directed toward youth and custodial fathers to help young men with basic skills such as obtaining and maintaining a job and counseling young fathers to become responsible parents.
- Some agencies provide after school care in partnerships with the YMCAs and during the summer months provide scholarships for summer care for low-income youth to participate in structured recreational activities.
- One agency provides a summer reading program, "Bucks for Books" to encourage children in second to fifth grades to read by providing appropriate books for children to read and then paying three dollars for each book read. The children are also required to give a book report or oral explanation of each book they read.
- A CAA receives an Abstinence 'Til Marriage grant, for youth ages 12 to 18, to encourage abstinence until marriage.
- The YES Ambassadors program serves youth ages 13 to 18 and provides a mechanism for them to communicate instructive messages to other youth about the hazards of alcohol, tobacco, and violence through theatrical productions.
- The Foster Grandparent Program directly impacts the lives of children that are identified as at risk and are referred by other community-based agencies. The program includes early intervention and one-on-one mentoring to direct children towards more positive behaviors and outcomes.

(3) To make more effective use of, and to coordinate with, other programs (including State welfare reform efforts).

Eliminating the causes of poverty requires comprehensive coordinated efforts on behalf of all agencies. This includes coordination from social service agencies, education and training, economic development, housing, business and home lending institutions, low cost housing developments and others.

CAAs track a wide range of local collaborative efforts numbering in the hundreds across the State. These efforts are captured in the individual CAAs' work plans

and the State receives an annual report that reflects coordinated efforts at the local level.

ADECA and Alabama's CAAs will continue to form memorandums of agreements and formal written partnerships with other agencies, groups, and organizations. Consequently, all sixty-seven (67) counties in Alabama are actively participating in a Continuum of Care for the homeless. **Appendix F** Some CAAs' executive directors are currently serving on the Continuums in their local area.

(4) Assurance '676(b)(4): Eligible entities in the State will provide, on an emergency basis, for the provision of such supplies and services, nutritious foods, and related services, as may be necessary to counteract conditions of starvation and malnutrition among low-income individuals.

Alabama's CAAs carry out various emergency services to low-income individuals such as cash assistance/loans, meals on wheels to homebound seniors, and care packages that provide food and hygiene products.

(5) State Assurance '676(b)(5): and the eligible in the State will coordinate, and establish linkages between, governmental and other social services programs to assure the effective delivery of such services to low-income individuals and to avoid duplication of such services, and State and the eligible entities will coordinate the provision of employment and training activities in the State and in communities with entities providing activities through statewide and local workforce investment systems under the Workforce Investment Act of 1998.

The State CSBG staff, through coordination with the Workforce Development Division of ADECA, will coordinate the provision of employment and training activities. ADECA encourages participation with the Alabama Department of Human Resources (the State social service department that has a local office in all 67 counties of the State) and the Alabama Department of Transportation in an effort to coordinate welfare assistance and welfare to work initiatives.

(6) Assurance '676(b)(6): The State will ensure coordination between antipoverty programs in each community in the State, and ensure, where appropriate, that emergency energy crisis intervention programs under title XXVI (relating to low-income home energy assistance) are conducted in such communities.

The State CSBG staff, in conjunction with the Energy, Weatherization & Technology Division of ADECA, will ensure coordination between anti-poverty programs and emergency energy crisis programs. Most CAAs operate both the LIHEAP and Weatherization programs. CAAs that do not operate these programs are monitored to verify that planned coordination occurs.

(7) Assurance `676(b)(9): The State and eligible entities in the State will, to the maximum extent possible, coordinate programs with and form partnerships with other organizations serving low income residents of the communities and members of the groups served by the State, including religious organizations, charitable groups and community organizations.

The State and eligible entities will endeavor to form memorandums of agreement and formal written partnerships, where feasible, with other agencies, groups, and organizations. State staff during FY2006 provided both funding and technical assistance to various homeless assistance providers with the result being a Balance of State Continuum of Care. Consequently, all sixty-seven (67) counties in Alabama are actively participating in a Continuum.

H. Fiscal Controls and Monitoring

As required under Section 678B(a) of the Act, the State provides the following reviews of local entities:

(1) State Program Monitoring: Upon receipt of a grant award from the U. S. Department of Health and Human Services, Office of Community Services, ADECA contracts with each of the State's eligible entities that have successfully completed a CSBG work plan for the grant period via grant agreement. The grant agreements contain all relevant terms and conditions of the CSBG Program to include implementation of the CAAs' work plan and any other special terms. Each community action agency is required to concur with the Cash Management Improvement Act, allow access to records for monitoring purposes, and to comply with State and federal regulations.

Each community action agency that operates on a cash advance basis is required to submit monthly financial reports to ADECA as a condition for receiving additional financial assistance. Through this review/approval process, ADECA monitors the amount of cash on hand at each agency. Each eligible entity is also required to submit a quarterly ROMA report to ADECA. The State utilizes these reports as a means of monitoring agency activity in each of the programmatic categories.

ADECA's Community Service Block Grant Section will conduct full on-site monitoring visits at each community action agency within a twelve to eighteen month period. Newly designated, and agencies experiencing difficulty or needing technical assistance are visited based on need. The on-site review of these agencies includes an inspection of the activities being carried out by the agency that are covered by its approved and/or accepted work plan; tripartite board meetings, attendance rosters, and actions of the board; coordination and linkages with local entities and agencies; and compliance with other applicable State and federal rules and requirements. Each agency is usually given a one to two week advance notice of the upcoming monitoring visit. After arriving on site at least twenty-five percent of the agencies field/satellite offices are visited as part of an effort to review their procedures for providing the assistance required by the assurances, and to determine the level of coordination and linkages between the central

office, its satellite offices and local agencies. Overall, the agency and its satellite offices are reviewed to determine the level to which the agency empowers low-income people to become self-sufficient. While conducting the review(s), if deficiencies are noted, time is taken out of the schedule to provide technical assistance where needed. If appropriate technical assistance cannot be provided during the monitoring review, arrangements will be made to provide technical assistance at a later date.

- a) In concert with the initial implementation of ROMA, during the next two years, the Alabama Department of Economic and Community Affairs, CSBG program supervisor will conduct an on-site review/technical assistance visit with each agency more frequently than the required three (3) year monitoring requirements.
- b) An on-site review of each newly designated entity will be conducted immediately after the completion of the first six months in which such entity receives funds through the Community Services Block Grant Program. A subsequent visit will be conducted at the end of the first twelve months of operation.
- c) Follow-up and/or reviews, including prompt return visits, will be conducted at eligible entities that fail to meet the goals, standards, and requirements established by the State.
- d) Other reviews may also be conducted, as appropriate, including reviews of or by entities with programs that receive related federal, State, or local grants, related to assistance provided under the Community Services Block Grant Program, which was terminated for cause {678B9(a)}.
- e) Annual audits are required of each local entity. The date of the last audit conducted and the period covered by the audit for each eligible entity is on file in the director's office of Community and Economic Development Programs.

At the culmination of the on-site visit, an exit conference will be conducted with the executive director, appropriate staff and board members. Monitoring reports are generally provided to the board of directors within 30 days of the site visit. If deficiencies are noted, the board is generally given 30 to 60 calendar days depending on the severity of the deficiencies, to correct the problem(s), or provide a plan to ADECA for correction, as is appropriate to the situation.

(2) Corrective Action, Termination and Reduction of Funding:

In the event the Alabama Department of Economic and Community Affairs determines that an eligible entity fails to comply with the terms of an agreement or the State Plan, to provide services under the Community Services Block Grant program or to meet appropriate standards, goals, and other requirements established by the federal and state government (including performance objectives), ADECA will:

- a) Inform the entity of the deficiency to be corrected;
- b) Offer training and technical assistance as appropriate to help correct the deficiency, and submit to the Secretary a report describing the training and technical assistance offered or stating the reasons for determining that training and technical assistance was not appropriate;
- c) At the discretion of the state, offer the eligible entity an opportunity to develop and implement, within 60 days after being informed of the deficiency, a quality improvement plan. ADECA may either approve the proposed plan or specify reasons why the proposed plan cannot be approved;
- d) If the deficiencies are not corrected, ADECA will notify the entity of its intent to reduce or terminate funding and the opportunity for a hearing on the impending action. After providing adequate notice and an opportunity for a hearing, in accordance with State's Eligible Entity Fair Hearing Policy and Procedure {Assurance 676(b)(8)}, ADECA may proceed with termination or reduction procedures.

(3) Fiscal Controls, Audits, and Withholding

The State fiscal control unit requires sub-recipients to report on financial expenditures and requests for reimbursements and/or advances of funds on a monthly basis.

As specified in Section 678D of the Act, the State shall comply with the provisions of Chapter 75 of Title 31, United States Code (Single Audit Act) and its implementing regulation in the Office of Management and Budget (OMB), Circular No. A-133. The single audit of the State will be performed by the Alabama Department of Examiners of Public Accounts. In addition, subgrantees receiving funds under this title are required to have an audit annually in accordance with the ADECA audit policy, as amended. The ADECA audit section has the responsibility for the department to ensure subgrantee audit reports are received timely and meet applicable audit standards.

The assurance '676(b)(7): Alabama will permit and cooperate with federal investigations undertaken in accordance with Section 678D of the Act.

The assurance '676(b)(8): Any eligible entity in the State that received funding in the previous fiscal year through a Community Services Block Grant under the Community Services Block Grant Program will not have its funding terminated or reduced below the proportional share of funding the entity received in the previous fiscal year unless, after providing notice and an opportunity for a hearing on the record, the State determines that cause exists for such termination or such reduction, subject to review by the Secretary as provided in Section 678C(b) of the Act. In complying with this assurance, the State will proceed on the advice of counsel, and in adherence with the CSBG Act, the Alabama State Code and ADECA policies and procedures.

The assurance `676(b)(10): The State will required each eligible entity in the State to establish procedures under which a low-income individual, community organization, or religious organization, or representative of low-income individuals, to be inadequately represented on the board (or other mechanism) of the eligible entity to petition for adequate representation. The State ensures compliance with this requirement through its contracting and monitoring requirements with local entities.

a) ADECA and the Community Action Agencies follow Office of Management and Budget (OMB) most current Circulars A-110, A-122, and A-133. The requirements for OMB Circulars A-110 and A-122 are identified in the sub-recipients contract and are part of the agencies work plan requirements. Sub-recipients are required to submit monthly financial reports of expenditures and request for funds, which are reviewed prior to processing. Agencies are required to employ an independent Auditor/CPA to perform annual audits and fiscal reviews.

b) Independent auditors/CPAs conduct audits annually in compliance with OMB Circulars A-133 listing transactions in accordance with A-110 and A-122 as well as program regulations and rules.

c) Follow-up reviews are conducted on eligible entities and their programs that fail to meet rules and requirements established by the OCS and the State. Technical assistance is provided when sub-recipients have continued difficulties in routine compliance issues.

I. Accountability and Reporting Requirements

(1) Results Oriented Management and Accountability

The State of Alabama is complying with assurance 676(b)(12) of the Act by ensuring that all eligible entities in the State are participating in the Results Oriented Management Accountability System (ROMA) pursuant to Section 678E(b) of the Act.

The State of Alabama has provided the Community Action Agencies (CAA) with a variety of training in developing, selecting, and measuring outcomes. The training has been for professional staff, board members, and executive management staff. As a result of this training these agencies are reporting ROMA outcomes for CSBG service categories every quarter.

An organized, concerted effort is also being created through a partnership between the State and the Community Action Association of Alabama to enable the agencies to achieve the next level with respect to ROMA. The State and the Community Action Association will be implementing statewide software, **Alabama EasyTrak Client**, in July 2006 to report agency goals, outcomes, and performance indicators to the State and the Association in electronic format.

The FY2007-2008 agency work plans included all proposed services and activities that each eligible entity intends to provide in the next two years. The proposed services and activities are then matched with the six ROMA goals and the 12 National Performance Indicators in the six charts below. The charts show the proposed services and activities and the total number of agencies proposing such services.

Results Oriented Management and Accountability (ROMA)

Goal 1: Low-income people become more self sufficient

National Performance Indicators	Agencies Reporting
1.1 Employment	21
1.2 Employment Supports	19
1.3 Economic Asset Enhancement and Utilization	19

Goal 2: The conditions in which low-income people live are improved.

National Performance Indicators	Agencies Reporting
2.1 Community Improvement and Revitalization	20
2.2 Community Quality of Life and Assets	8

Goal 3: Low-income people own a stake in their community.

National Performance Indicators	Agencies Reporting
3.1 Civic Investment	12
3.2 Community Empowerment Through Maximum Feasible Participation	20

Goal 4: Partnerships among supporters and providers of services to low-income people are achieved.

National Performance Indicators	Agencies Reporting
<u>4.1 Expanding Opportunities Through Community-Wide Partnerships</u>	19

Goal 5: Agencies increase their capacity to achieve results.

National Performance Indicators

Agencies Reporting

5.1 Broadening the Resource Base	14	i
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Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive systems.

National Performance Indicators

Agencies Reporting

6.1 Independent Living	18
6.2 Emergency Assistance	21
6.3 Child and Family Development	17

(1) Annual Report

Section 678E(a)(2) of the Act requires each State to prepare and submit to the Secretary an annual report on the measured performance of the State and its eligible entities. Alabama complies with this requirement through the annual submission of the Community Services Block Grant Information System Survey. The latest compiled annual report (FY2005) was submitted to NASCSP in March 2006. The client characteristics section of the FY2005 report is **Appendix G**, and Statistical Report, Part II: ROMA Report is **Appendix H**. The FY2006 annual report will be submitted to NASCSP in March 2006, as required.

(a) Performance Objectives

The performance objectives for the eligible entities in Alabama are reflected through the six ROMA goals and the twelve national performance indicators.

(b) Program Accomplishments and Activities

Program Accomplishments and Activities covered a wide area including but not limited to those that addressed education, emergency services, health, housing, income management, linkages, nutrition, economic development and self-sufficiency. A total of \$148,087,156 was mobilized by community action and approximately 440,432 clients benefited.

(c) Comparison of planned and actual expenditures for prior fiscal year

1. A comparison of planned and actual expenditures for eligible entities is shown in the following table.

CSBG Funds Planned and Actual Expenditures for FY 2006

AGENCY	PLANNED DISTRIBUTION	ACTUAL DISTRIBUTION
Alabama Council on Human Relations, Inc.	\$384,522	\$377,581
CAA of Baldwin, Escambia, Clarke, Monroe and Conecuh Counties, Inc.	584,365	573,817
Chambers, Tallapoosa, Coosa, Community Action Committee, Inc.	232,844	228,641
Dallas, Selma Community Action & Community Dev. Corp, Inc.	616,604	605,471
Eleventh Area of Alabama Opportunity Action Committee, Inc.	240,480	236,139
Elmore-Autauga Community Action Committee	174,176	171,031
Etowah County Community Services Program, Inc.	254,095	249,508
Human Resources Development Corporation	550,711	540,769
Community Action Partnership of Huntsville, Madison, and Limestone Counties, Inc.	576,793	566,381
Jefferson County Committee for Economic Opportunity	1,525,306	1,497,773
Macon-Russell Community Action Agency, Inc.	269,145	264,287
Marion-Winston Counties Community Action Committee, Inc.	141,167	139,752
Mobile Community Action, Inc.	1,199,888	1,187,867
Montgomery Community Action Committee, Inc.	586,836	576,244
Community Action Partnership of North Alabama, Inc.	457,269	449,016
Community Action Agency of Northeast Alabama, Inc.	735,996	722,711
Community Action Agency of Northwest Alabama, Inc.	412,089	404,649
Organized Community Action Program, Inc.	579,088	568,636
Pickens Community Action Committee, Inc.	82,280	80,796
Community Action Agency of Talladega, Clay, Randolph, Calhoun and Cleburne Counties	625,532	614,239
Walker County Community Action Agency, Inc.	182,992	179,687
Community Services Programs of West Alabama, Inc.	706,441	693,688
State Administration	581,013	575,192
Total Awarded/Expended	\$11,620,281	\$11,503,875

Planned and actual distributions represent ninety-five percent of the Community Services Block Grant funds available to the State.

2. Discretionary Funds: The State does not have discretionary funds. Alabama law requires a ninety-five percent pass through of Community Services Block Grant funds. **(Appendix E)**
3. Administrative Funds: The planned use of funds for State administration versus actual expenditures for the previous year are as follows:

	PLANNED	ACTUAL
Salaries	\$212,900.00	\$214,534.84
Fringe Benefits	62,964.00	60,587.52
Indirect Cost (3.02%)	343,592.00	281,961.62
Professional Services (Consultants, i.e.)	10,000.00	9,052.42
Travel (In/Out of State)	10,500.00	9,592.27
Other	6,450.00	5,284.33
TOTALS	\$646,406.00	\$581,013.00

Note: No funds were recaptured or redistributed in FY 2006

The administrative funds were used to provide staff training, CAA training, and technical assistance, as well as increasing the Results Oriented Management Accountability (ROMA) capabilities of the sub-grantees. The actual expenditures do not include August and September.

(d) Profile of Participants Served.

As part of the State's Annual Report, client characteristic data is collected by the 22 Community Action Agencies. The information in the report reflects one or more characteristics of individual clients and households. The characteristics of clients are shown in **Appendix G**. The data on education indicates the education level of clients 24 years old and above. This information is not intended to reflect the actual number of teen or adult non-high school graduates.

(e) Statistical Report on CSBG Program Services.

The statistical report, **Appendix H**, is compiled to summarize the Results Oriented Management Accountability Report on six national goals and outcome efforts along with performance indicators for Employment, Emergency Services, Health, Housing, Income Management, Linkages, Nutrition, Economic Development, Self-Sufficiency and Special/Innovative Programs.

(f) Training and Technical Assistance Provided by the State.

Formal training and technical assistance were provided through workshops. These included one on the FY2007-FY2008 Work Plan, together with a series of workshops on fiscal management and procurement. Technical assistance was provided routinely through monitoring and as a result of requests from community action agencies. There was also training on ROMA. The workshop that addressed the work plan also included training on the CSBG/IS Report and board participation.

VI. Signed Certifications

Certification Regarding Lobbying, Drug Free
Workplace and Certification Regarding
Environmental Tobacco Smoke

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature

Date

Acting Director

Title

Alabama Dept of Economic & Community Affairs
Organization

CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

Certification Regarding Debarment, Suspension, and Other Responsibility Matters-- Primary Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under

48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters-- Primary Covered Transactions

(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;

(b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-- Lower Tier Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is

.providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.

4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, [[Page 33043]] should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility an Voluntary Exclusion--
Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Signature

Date

Acting Director

Title

Alabama Dept of Economic & Community Affairs

Organization

CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d)(2) and 76.645(a)(1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central point is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

Certification Regarding Drug-Free Workplace Requirements (Instructions for Certification)

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification set out below.
2. The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. For grantees other than individuals, Alternate I applies.
4. For grantees who are individuals, Alternate II applies.
5. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).
7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).

8. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

Controlled substance means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

Conviction means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

Criminal drug statute means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

Employee means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Certification Regarding Drug-Free Workplace Requirements

Alternate I. (Grantees Other Than Individuals)

The grantee certifies that it will or will continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about --
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --
- (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted -
- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).
- (B) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Alabama Dept of Economic E. Community Affairs

401 Adams Avenue/Montgomery, Alabama/Montgomery/36104

Check if there are workplaces on file that are not identified here.

Alternate H. (Grantees Who Are Individuals)

- (a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;
- (b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

[55 FR 21690, 21702, May 25, 1990]

Signature

Date

Acting Director _____

Title

Alabama Dept of Economic & Community Affairs _____

Organization

CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of any indoor routinely owned or leased or contracted for by an entity and used routinely or regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 per day and/or the imposition of an administrative compliance order on the responsible entity by signing and submitting this application the applicant/grantee certifies that it will comply with the requirements of the Act.

The applicant/grantee further agrees that it will require the language of this certification be included in any subawards which contain provisions for the children's services and that all subgrantees shall certify accordingly.

Signature

Date

Acting Director
Title

Alabama Dept of Economic & Community Affairs
Organization

VII.

Appendices

Appendix A

Public Hearing

Announcements, Minutes and
Sign In sheets

**LOW INCOME HOME ENERGY ASSISTANCE PROGRAM
(LIHEAP)
And
COMMUNITY SERVICES BLOCK GRANT PROGRAM
(CSBG)**

Public Hearings FY 2007 — 2008

Public Hearings for the Low Income Home Energy Assistance for the Fiscal Year 2007 and the Community Services Block Grant Program for the Fiscal Years 2007 — 2008 were held in Birmingham, Montgomery, and Mobile as per the attached schedule.

Willie Whitehead, Energy Section Supervisor, and Lee Flennory, CSBG Supervisor, conducted the hearings.

Mr. Whitehead reviewed the LIHEAP program, as it is presently being administered, including eligibility requirements, funding levels and major program components. Proposed funding and program guidelines for the Fiscal Year 2007 were also discussed. Mr. Flennory presented a proposal for the FY2007 — 2008 State CSBG Work Plan.

Handouts containing this information were distributed at the hearings, along with the Alabama Department of Community and Economic Affairs' address for comments.

Outlined below are comments or questions made at the hearings.

- **Birmingham, May 3, 2006**

NO COMMENTS

- **Montgomery, May 5, 2006**

NO COMMENTS

- **Mobile, May 9, 2006**

COMMENT: Did the State receive any money as a result of the Katrina Disaster?

RESPONSE: The State received money for the Katrina Disaster but none of it was directed to the LIHEAP/CSBG program.

It was suggested that the agencies contact Mobile and/or Mobile County to see if they had any disaster money that could be used for their clients.

BoB R LEY
GOVERNOR

Bill JOHNSON
DIRECTOR

STATE OF ALABAMA

April 25, 2006

MEMORANDUM

TO: Executive Directors
Community Action Agencies

FROM: Bill Johnson
Director



SUBJECT: Public Hearing

In accordance with the Omnibus Reconciliation Act of 1981, as amended, the Alabama Department of Economic and Community Affairs will sponsor public hearings to explain eligibility rules, increase public awareness, and secure input to the State's administration of the Community Services Block Grant Program and the Low Income Home Energy Assistance Program. All concerned individuals are invited to attend and present any comments or questions regarding the proposed use and distribution of funds for the period covered by the State Plan.

The Community Services Block Grant FY 2007-2008, and the Low Income Home Energy Assistance Program FY 2007 public hearings are scheduled to be held at the locations indicated below:

Department of Transportation
1020 Bankhead Hwy., West
Birmingham, Alabama
May 3, 2006 (Wednesday)
10:00 a.m.

Alabama Center for Commerce Building*
401 Adams Avenue
Room 342
Montgomery, Alabama 36103
May 5, 2006 (Friday)
10:00 a.m.

International Trade Center
250 North Water Street
1st Floor– Killian Room
Mobile, Alabama
May 9, 2006 (Tuesday)
1:00 p.m.

Anyone who requires further information or has a disability which might require special materials, services, or assistance may contact Willie Whitehead at 334-242-5365 or Lee Flenory at 334-353-1700 in advance of the hearing date so that reasonable and necessary attempts can be made for accommodations.

Parking will be available in the Alabama Center for Commerce parking deck. It should be accessed from the Hull Street side, and the code is 673*.

Law, Emily

From: Pringle, LaKisha [lpringle@montgome.gannett.com]
Sent: Friday, April 14, 2006 7:58 AM
To: Law, Emily
Subject: RE: LIHEAP Public Hearing Notice4/25

Received
The quote to run in the Adv. on 4/25 is =\$217.55
Text to run as follow:

LEGAL NOTICE

Low Income Home Energy Assistance Program - FY 2007
Community Services Block Grant - Fys 2007-2008
Public Hearings

In accordance with the Omnibus Reconciliation Act of 1981, as amended, the Alabama Department of Economic and Community Affairs will sponsor public hearings to explain eligibility rules, to increase public awareness, and to secure input to the state's administration of the following block grants:

The Community Services Block Grant - Fys 2007-2008, and The Low Income Home Energy Assistance Program - FY 2007 Public Hearings are scheduled to be held at the locations indicated below:

Department of
Transportation
1020 Bankhead Hwy., West
Birmingham, AL
May 3, 2006 (Wednesday) 10:00 a.m.

Alabama Center for
Commerce Building
401 Adams Avenue
Room 342
Montgomery, AL 36103
May 5, 2006 (Friday)
10:00 a.m.

International Trade Center
250 North Water Street
1st Floor - Killian Room
Mobile, AL
May 9, 2006 (Tuesday)
1:00 p.m.

Anyone planning to attend the public hearings who has special or disabled accessibility needs may contact Willie Whitehead at (334) 242-5365 or Lee Flenory at (334) 353-1700, in advance of the hearing date so that reasonable and necessary attempts can be made for accommodations.

All interested individuals are invited to attend. For additional information, contact Willie Whitehead or Lee Flenory at the above numbers, at the Alabama Department of Economic and Community Affairs, Energy, Weatherization and Technology Division, P. O. Box 5690, Montgomery, AL 36103-5690.

Mont. Adv. 4/25/2006

4/14/2006

STATE OF ALABAMA)
MONTGOMERY COUNTY)

Before me,

a No ry P blic

in and for the State and County aforesaid, personally appeared _____
 who, first being duly sworn according to law, deposes and says that she is BOOKKEEPER for The
 Advertiser Company, publishers **of The Montgomery Advertiser** and **The Prattville Progrsas**
 and **that the** legal advertisement a peared in the **Montgomery Advertiser and** **that then is now**
 due on said account **the sum of** 9¹ ^{SS}, which Is due, Just and unpaid; and that no part of
 said account has been paid except as herein .psctfied.

Sworn and subscribed before this

1st day of May
Betty Dennis
Notary Public, Montgomery Co., AL

"11 ilission expires 1/06/200

Low Income Home Energy Assistance Program - FY 2007 Services Community Block Grant - FY 2007-2008 Public Hearings

In accordance with the Omnibus Reconciliation Act of 1981, as amended, the Alabama Department of Economic Affairs and Community Affairs will sponsor public hearings to explain eligibility rules for increased benefits awareness to the public regarding the state's administration of the following block grants:

- The Community Services Block Grant; Fys 2007-2008, and The Low Income Home Energy Assistance Programs are 2007 Public Hearings are scheduled to be held at the locations indicated below.

Alabama Department of Economic Affairs
Transposition West
1028 Bankhead Hwy., Suite 100
Montgomery, AL 36103
May 3, 2006 (Wednesday)
9:00 am - 1:00 pm
Alabama Center for the Visually Impaired
Commerce Building
Room 342
Montgomery, AL 36103
May 5, 2006 (Friday)
1:00 p.m.
International Trade Center
750 North Water Street,
Floor - Kilian Room
Mobile, AL 36688
May 9, 2006 (Monday)
1:00 p.m.

Anyone who attends these hearings will receive information about the needs and requirements for each program. If you have questions or need more information, please call (334) 3-5343 or visit our website at www.dca.state.al.us. We can help you understand how you can benefit from these programs.

PRESS REGISTER

PAGE 1

ACCOUNT NO 2425163ADE
PERIOD END 04/28/06NAME ADECA/WORKFORCE
REP. 2425

BILL TO SAME

QUESTIONS PLEASE CALL 251-433-1551

ADECA/WORKFORCE DEVEL. DIV.
PO BOX 5690
ATTN: MS. TAMMY ROLLING
MONTGOMERY, AL 36103-5690

- CURRENT BALANCE
- AMOUNT ENCLOSED
- PLEASE RETURN SECOND COPY WITH OUR PAYMENT TO THE PRESS REGISTER
LOCK BOX 496
MOBILE, ALABAMA 36601

TERMS: DUE ON RECEIPT

V1F

REFERENCE NUMBER	DATE	DESCRIPTION OR TAG LINE	RATE INCH/CPD1	SIZE OR L#PG	CHARGES CREDITS	AMOUNT DUE
TVIEKIR	04/28/06	P6-012 /LEGAL NOTIC		238.00W	76.16	6
STARTED 04/28 TIMES RUN= 1;WORD- PER AD= 238.0						

Stephanie Callen being sworn, says that she is bookkeeper of the MOBILE PRESS REGISTER, INC. which publishes a daily newspaper in the City and County of Mobile, li State of Alabama: and the attached notice appeared in the issue of

THE MOBILE REGISTER

04/28 - 2006

Sworn to and subscribed before me this 28th day of Apr, 200

, Jr

NOTARY PUBLIC

FOR QUESTIONS CONCERNING THIS AFFIDAVIT-
PLEASE CONTACT KAREN BLACKARD AT 219-5413.
YOU CAN PLACE A LEGAL NOTICE BY EMAIL CB FAX:-- :
LEGALS@PRESS-REGISTER.COM OR FAX# 219-5886

APPROVED:

NJAA

WE APPRECIATE YOUR BUSINESS

PRESS REGISTER LOCK BOX 496, MOBILE, ALABAMA 36601

FOR BILLING INQUIRIES - CALL (251) 219-5413 OR 219-5415

LEGAL NOTICE

Low Income Nonce Energy Assistance Program - FY 2007
Community Services Block Grant
Fys 2007 - 2006 Bubb(hearings

In accordance with the Omnibus Reconciliation Act of 1981, as amended, the Alabama Department of Economic and Community Affairs will sponsor public

hearings to explain eligibility rules, increase public awareness, and to secure input to the state's administrative of the following block grants:

The Community Services Block Grant FYs 2007-2006, and The Low Income Home Energy Assistance Program - FY 2007
Public hearings are scheduled to be held at the locations indicated below:

Department of Transportation
1020 Bankhead Hwy., West Birmingham, AL May 3, 2006 (Wednesday)
10:00 a.m.

Alabama Center for Commerce Building
401 Adams Avenue
Room 342
Montgomery, AL 36103
May 5, 2006 (Friday)
10:00 a.m.

International Trade Center
250 North Water Street
1st Floor - Elhan Room
Montgomery, AL
May 9, 2006 (Tuesday)
10:00 p.m.

Anyone planning to attend the public hearings who has special or disabled accessibility needs may contact Willie Whitehead at 13341 2425365 or Lee Klennoy at 13341 353-1700, in advance of the hearing date sit may reasonable and necessary attempts can be made for accommodations.

All interested individuals are invited to attend. For additional information, contact Willie Whitehead or Lee Klennoy at the above numbers, at the Alabama Department of Economic and Community Affairs, Energy, Weather, Labor and Technology Division, P. O. Box 5690, Montgomery, AL 36103-5690.

Press-Register
April 28, 2006

Covington, Vivian

From: Covington, Vivian
Sent: Wednesday, April 26, 2006 4:31 PM
To: 'almagh@achr.com'; 'frankie@achr.com'; 'wayne@achr.com'; 'caaofecmc@bellsouth.net'; 'zeke3@earthlink.net'; 'DSCA@bellsouth.net'; 'oacincl@bellsouth.net'; 'mdunlap@EACAC.com'; 'eccsp-director@comcast.net'; 'hrdcpjames@aol.com'; 'lgradford@caa-htsval.org'; 'tdebroy@aol.com'; 'gcjceo@aol.com'; 'mrcaa@bellsouth.net'; 'mwcaa@sonet.net'; 'css@mcabobile.org'; 'kbranch@mcamobile.org'; 'aweary@montgomerycommaction.com'; 'twood@capna.org'; 'caaneala@farmerstel.com'; 'wljackson#@farmerstel.com'; 'caanw@bellsouth.net'; 'ocap@troycable.net'; 'csimpson@nctv.com'; 'lingram@nctv.com'; 'inbox@caatcr.com'; 'walkercountyc.596@bellsouth.com'; 'cburton@cspwal.com'
Subject: PUBLIC HEARING MEETINGS
Attachments: M E M O R A N D U M.doc

4/27/2006

LEGAL NOTICE

Low Income Home Energy Assistance Program – FY 2007 Community Services Block Grant – Fys 2007-2008 Public Hearings

In accordance with the Omnibus Reconciliation Act of 1981, as amended, the Alabama Department of Economic and Community Affairs will sponsor public hearings to explain eligibility rules, to increase public awareness, and to secure input to the state's administration of the following block grants:

The Community Services Block Grant - Fys 2007-2008, and The Low Income Home Energy Assistance Program – FY 2007 Public Hearings are scheduled to be held at the locations indicated below:

Department of Transportation
1020 Bankhead Hwy., West
Birmingham, AL
May 3, 2006 (Wednesday)
10:00 a.m.

Alabama Center for Commerce Building
401 Adams Avenue
Room 342
Montgomery, AL 36103
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10:00 a.m.

International Trade Center
250 North Water Street
1st Floor– Killian Room
Mobile, AL
May 9, 2006 (Tuesday)
1:00 p.m.

Anyone planning to attend the public hearings who has special or disabled accessibility needs may contact Willie Whitehead at (334) 242-5365 or Lee Flennory at (334) 353-1700, in advance of the hearing date so that reasonable and necessary attempts can be made for accommodations.

All interested individuals are invited to attend. For additional information, contact Willie Whitehead or Lee Flennory at the above numbers, at the Alabama Department of Economic and Community Affairs, Energy, Weatherization and Technology Division, P. O. Box 5690, Montgomery, AL 36103-5690.

ADECA LEGISLATIVE OVERSIGHT COMMISSION

Senate Members:

Roger Bedford – 6th District – (Colbert, Fayette, Franklin, Lamar, Lawrence, Marion, and Winston Counties)

Bobby Denton — 1st District — (Colbert and Lauderdale Counties)

Zeb Little – 4th District – (Cullman, Lawrence, and Winston Counties)

Phil Poole – 21st District – (Hale, Pickens, and Tuscaloosa)

Hank Sanders – 23rd District – (Autauga, Clarke, Conecuh, Dallas, Lowndes, Monroe, Marengo, Perry, and Wilcox Counties)

House Members:

Tommy Carter – 5th District - (Limestone County)

John Knight – 77th District – (Montgomery County)

Jack Page – 29th District – (DeKalb and Etowah Counties)

Thad McClammy – 76th District – (Montgomery County)

Neal Morrison (Chairperson) – 12th District – (Cullman County)

ADECA OVERSIGHT COMMITTEE MEETING MINUTES
AUGUST 21, 2006
ROOM 617
ALABAMA STATE HOUSE
10:30 a.m.

Members Present
Representative Morrison, Chairman
Representative Knight
Representative Page

The meeting was called to order at 10:30 a.m. by Representative Morrison. He opened the meeting by stating that he appreciated everyone coming out and commented on the difference in time for the meeting, stating that they had sent out notices for 10:00 a.m., and ADECA's information stated 10:30 a.m. Mr. Morrison stated that he had read the Work Plan and felt it would be better to have a question and answer period instead of someone getting up and talking for twenty minutes then have questions. He then asked Ms. Ingram to give an overview of the State Plan.

Ms. Doni Ingram introduced herself as the Acting Director of ADECA. Ms. Ingram stated that although she was new to the State she was not new to the programs in the Community and Economic Development section. She has worked in the economic development area for the past 27 years. She is well acquainted with the staff at ADECA. Ms. Ingram introduced Shabbir Olia and mentioned that there were three of the agencies represented. She introduced Lee Flennory and stated that he would take the questions on the State Plan from the questioners.

Mr. Morrison asked about the performance indicator mentioned in the Work Plan and questioned that the reporting was not 100 percent and why are these people not reporting.

Ms. Brenda Jones stated that there are some categories that they are supposed to report on and all agencies do not report on the same activities. All agencies do not do all the services. The agencies have their own services based on the location of the agency and the population served.

Mr. Morrison then asked Shabbir Olia to explain it to him.

Mr. Shabbir Olia reported that we have 22 community agencies and not all of them are reporting on all services. They are reporting only on the services they perform.

Mr. Morrison stated that he understood that and that Ms. Jones probably said that to him but that he just needs things to be explained to him. Only 6 agencies were reporting and the other 16 were not but now that he understood, it made sense. All agencies are not receiving the same amount of money for similar programs.

ADECA OVERSIGHT COMMITTEE MEETING MINUTES

AUGUST 21, 2006

Page 2

Mr. Morrison: Who makes the decision on what programs the agencies are going to participate in, and in which ones they will not participate. Is the decision made on the local, state, or federal level? Who tells them it is okay for them not to participate on that indicator?

Mr. Olia: The agencies for Community Services are large with urban areas and some are smaller and the population covered by the agencies determines how much funding they get. You can do only so much with the amount of money they get based on the population.

Mr. Morrison: Do the local boards decide they are going to apply for "this amount of money" for? Do the local boards decide they are going to apply for this amount of money for these programs?

Ms. Jones: That would be based on their needs to fit the area

Mr. Morrison: In the Work Plan you talk of partnering with other State entities and partnering with Faith-Based individuals. Explain to me how much money is going to Faith-Based entities and where that is occurring. The other question Mr. Morrison had was that the Alabama National Guard started a dynamic program a couple of years ago, called "About Face" and ADECA was helping to fund that and all of a sudden ADECA chose not to fund that anymore. They are making a major impact in the State in several places and I am just curious why ADECA doesn't fund that anymore.

Ms. Ingram: That program was funded through the Work Force Development and the youth program has been cut so dramatically. What the funds are used for is very limited now. The WIA decides what the funds are used for. It does not come through the Community Services Division.

Mr. Morrison stated that there about 16-18 Faith Based organizations that have been receiving funding from ADECA.

Ms. Tammy McDaniel, Executive Director, Community Action Agency of Northwest Alabama, Inc. stated that the agency does not give funds to the Faith Based organization. The organizations contribute to the agency. In their budget of about \$2 million, approximately \$60,000 plus comes from the Faith Based organizations. Those funds are given to the agency for extra needs over the funds the agency is able to provide.

Mr. Morrison then stated that in working with other State agencies, we want to make sure we don't have duplicated services and asked if the agencies were still working with organizations in helping the literacy problem. Are the organizations receiving funds to

ADECA OVERSIGHT COMMITTEE MEETING MINUTES
AUGUST 21, 2006
Page 3

continue working closely with the local tier colleges and folks providing the adult education programs? Does the agency provide these services or does the agency refer them back to these organizations?

Ms. McDaniel: We do not provide these services, but we do provide tuition, books, clothing, whatever it takes to get them back into the short term program. We are still working with the colleges and career centers, etc.

Mr. Morrison made the observation that the report shows that people who have completed high school need more help than those who do not have a diploma. Is that true, or is it just that the high school educated are coming forth and asking for more help and services?

Ms. Cynthia Burton, Executive Director, Community Service Programs of West Alabama, Inc. I found working on my prior job, that many young males who had completed high school had been sports oriented, football, etc., and really do not have the skills a graduate should have and require help then after they have finished high school.

Mr. Lukata Mjumbe, Executive Director, Community Action Agency Association of Alabama, stated his agency represented all 22 agencies throughout the State. We have looked at the federal poverty rate and the standards used and there have been an increase of single parent homes. Some of the single parents who have a high school education and even some college education find themselves with added responsibility and it makes it difficult to support their families.

Mr. Jimmy Knight, Executive Director, Mobile Community Action, Inc., spoke about working with the educational system and how many of the students who graduated were literate and how many would need additional assistance.

Mr. Morrison stated that the data sent to the federal government might mislead them into believing a partnership with certain organization might make them think the programs were over funded and then cut the programs. He stated that he would not want the federal government to cut the programs because they thought the programs were overfunded. He stated that State agencies have the opportunity to check with other agencies and ensure that we are not duplicating services.

Mr. Knight: When we make the appropriation on the Smart Budgeting how does that work?

Mr. Olia stated that the Smart Budget, although he did not want to be critical of the concept, to some extent it does not allow a department like ADECA to explain what they do. The entire budgeting has been done in such a manner, speaking of the CDBG

ADECA OVERSIGHT COMMITTEE MEETING MINUTES
AUGUST 21, 2006
Page 4

program, that we do a lot more than we are allowed to put into the Smart Budget. For a department like ADECA it is very difficult to address the process as outlined in the Smart Budget.

Mr. Knight: I understand that what you are saying is we need to work on some compatibility.

Mr. Olia: It would be impossible to tell what ADECA does by looking at the Smart Budget. We are forcing ourselves to work with the Smart Budgeting and even with the formatting we aren't allowed to tell you the whole story of what we do.

Mr. Knight: As we look at the smart budget concept, my question is how do you get the best value for the dollars that are coming into the State. Do you know from some of the more aggressive states how that is being done.

Mr. Olia: We are trying to come up with a state strategy and we are beginning to work in that direction. Mr. Olia talked about the Disaster Recovery funding after Hurricane Katrina. We received some funding from Economic Development and were asked to write a plan about disaster and economic recovery. We are addressing several components of this plan and we are hoping that after the election, we can come up with an authoritative document that has an overall strategy of what is best overall for the State of Alabama.

Ms. Jody Waites: The Community Services Block Grant, as far as the Smart Budget goes, we lumped the entire grant amount and the distribution of that grant to 22 Community Action Agencies. We haven't been able to separate them out into the programmatic issues with programs that the agencies have provided to the citizens. If ROMA actually comes into play, we should be able to integrate that into the Smart Budget and it will be much more informative at the State level for the Executive Branch and the Legislative Branch.

Mr. Knight: Can anybody tell me of some of the other states that do differently. Have we looked at those? Question: How much money did we receive on the Katrina funds?

Ms. Doni Ingram: We received \$74 million on the first round. We have had no official notification, but it was reported in the newspaper, that we will be getting \$21.2 million in supplemental funds.

There was discussion on State agencies duplicating services. Ms. Ingram mentioned that a task force had been established to overview the services of the State agencies and ensure that services were not being duplicated through a Strategic Plan.

Mr. Morrison stated that he would like to have the members return to the committee at a later date and discuss some more items, such as the 10 percent the State has for administration and what we do with that money. Ms. Doni Ingram stated that she would welcome to come back before the committee and let the Legislature know what is happening in Alabama. We need to be planning 10 years down the road and getting prepared for new services and happenings the State is looking forward to.

Mr. Morrison thanked everyone for coming and adjourned the meeting.

Appendix B

ADECA Organization Chart

ALABAMA DEPARTMENT OF ECONOMIC AND COMMUNITY AFFAIRS

DONI M. INGRAM
ACTING DIRECTOR

Fiscal Agent

ENERGY, WEATHERIZATION, AND TECHNOLOGY DIVISION TERRI L. ADAMS, Division Director

ADMINISTRATIVE

SHIRLEY / PROVO
ANNE YOUNGBLOOD

SCIENCE AND TECHNOLOGY SECTION

NED N. BUTLER

LINEAR WEATHERIZATION

GARETH D. (WILLIE) WHITEHEAD
RONALD FORD
BRIANDA S. GUTHRIE
TUCKER MITCHELL
STEVE TRL
GWENDOLYN WAHLE

ENERGY EFFICIENCY AND RENEWABLE SECTION

JOSEPH R. MOORE
KAREN CLIFTON
KATH FROST
KATHY HORNBY
LARRY KNOX
CLARENCE E. MAHN

LAW ENFORCEMENT AND TRAFFIC SAFETY DIVISION ROBERT H. PRUIT, Division Director

ADMINISTRATIVE

FAYE W. MCLEAN
DEBRA M. PERRY

GRANTS MANAGEMENT

JIM OLIVER
THOMAS G. GOREE, JR.
DONALD H. LEE
HONDA C. PINE
KATHLEEN A. HASMUSSEN
RACHEL L. SIMS
WILLIAM SKOTT STEWART
WILLIAM H. RAY
CHRISTOPHER (KIT) GALLUP

PROGRAM INTEGRITY

CURTIS L. HARRIS

COMMUNICATION AND INFORMATION DIVISION LARRY J. CHILDERS, Division Director

ADMINISTRATIVE

COLLIE B. BURKHALTER

GRAPHIC ARTS

TOMMY PRICE, JR.
ADRIANE A. RUSS

PUBLIC INFORMATION SECTION

FRANK MILES
JAMES PLOTT
MIKE W. PRESLEY

OFFICE OF WATER RESOURCES (DIVISION) EDDIE DAVIS, Division Director (Acting)

ADMINISTRATIVE

PAT DEHENRY

INTERSTATE WATER ISSUES

DUW JOHNSTON

WATER USE MANAGEMENT

THOMAS M. LITTLEPAGE
JOEL (RUSH) CHILDS
ASHLEY PAULK

FLOODPLAIN MANAGEMENT BRANCH

LESLIE DURHAM

PROGRAM SUPPORT

RITA TICHONIS
JAMES (KEN) MEROETH

GIS MANAGEMENT

W. PHILLIP HENDERSON
NANCY LAWRENCE

TECHNICAL SUPPORT

JOHN AMARIS
PHILLIP HICKS

THE GOVERNOR'S RESOURCES AND ECONOMIC ASSISTANCE PROGRAMS

BEATRICE P. FORNISS
MAHLON REYNOLDS
JESSICA DENT
THEODORE (BUTCH) JONES III

INFORMATION SERVICES

ADMINISTRATIVE UNIT

SCOTT A. RANDOLPH

OPERATIONS UNIT

JOANNA K. MEYERS
SHARON P. BOYNTON

PROGRAMMING UNIT

DEBORAH KNIGHT
CHRISTOPHER D. PLEYER
SHEILA B. TAYLOR
THOMAS A. WOODARD

PC SUPPORT/TRAINING UNIT

SCOTT A. THIERFELDER
HARVEY C. HOLT
JAMES M. JACKSON
RAY JONES
KATHY J. MATHEWS
DAVID E. WATERS

VOICE TELECOMMUNICATIONS UNIT

SHEILA Y. LANGBERT

LEGAL SECTION

Edward E. Davis

CLAUDIA A. SMITH
MAHIA USWALT
SHARON MEEHAN

GENERAL SERVICES SECTION

RITA G. (SISY) BALLENTINE
YVONNE V. ELMORE
ELVIS D. THOMAS

COMMUNITY & ECONOMIC DEVELOPMENT PROGRAMS Shabir A. Ota, Manager

CSBG

Community Development Block Grant

JOHN BUCHANAN, JR.
VIVIAN COVINGTON
SANDRA HANLEY
SANDRA DONALDSON
MARGARET C. DYKES
RUB GRANT
KATE ROBERT
WILLIAM ALI JONES
DAHMEN HENRICHSON
JOHNNE STREETER

CSBG

Community Services Block Grant

LEE A. FLENNORY
SHEILA H. JONES
HUBEN PARKER
JUDY SMITH WAITES

ESG

Emergency Shelter Grant

SHONDA GRAY

SURPLUS PROPERTY DIVISION SHANE T. BAILEY, Division Director

ADMINISTRATIVE

BURT BROWNE
FREDDIE FOX
BLM M. DENNEY
SHERYL V. DUKE
CHARLES FULLER
JAMES HOLLINGSWORTH
TARISHA ADAM LEE
DEBRA SMITH

MAINTENANCE AND REPAIR

STEVE FURLEY
LAVERNE RAY BAME
JAMES BLANDEN
WILLIE WALKER

EVA

SHERYL C. CHILDRIS
SAMUEL R. CLEGGHORN
JIMMY R. PERSALL
RANDY HOLCOMB

SALES

DEBORAH J. JOHNSON
JOSEPH M. BEYSON

COMMUNITY PROJECTS

HAJELAB GILES
KIM BRADY
EMILY LAW

HUMAN RESOURCES SECTION

RAMONA CARROLL
AITIA JULIUS
EVELYN TERRY

PROGRAM INTEGRITY UNIT

PAUL A. MURPHY

RECREATION AND CONSERVATION

JONATHAN C. STRICKLAND
CRYSTAL DAVIS

SPECIAL PROJECTS

BONNIE L. DURHAM

AUDIT

ADMINISTRATIVE SECTION

WENDY C. SPIVEY
BENITA H. FUSTELL
AUDIT REVIEW SECTION

SHERILY A. MARLEY

AUDIT OPERATIONS SECTION

KATHRENA TETTLER

FINANCIAL SERVICES TAMMY J. ROLLING, Accounting Director

ADMINISTRATIVE UNIT

SHERITA C. GIBBS
KATHY J. MAIR

CONTROL AND PAYROLL UNIT

CONTROL

ALESIA T. DOOLEY
ROSIE M. (PEGGIE) HALL
CAROLE SILAS

PAYROLL

NANCY R. BROWN

PROPERTY MANAGEMENT

PURCHASING

GILBERT RELL CULLAH JR.

ACCOUNTING UNIT

WORKFORCE DEVELOPMENT

SIMS R. DUNLAP
BETTY C. CROM
MALINDA DUNCAN
PHILIP P. FELTZER
MARI ANN HUBEL
DORIS J. TOWNSEND
LEALYN B. WRIGHT

PARTICIPANT PAY

MARSHA M. MULLAL

INDIRECT COST

MARY M. MAHAN
LISA T. SMITH

LETS

WILLIAM T. WALDROFF
CORINE L. BALLARD
RICHARD V. LAWLER
CAROLE A. JACKRE
MARI A. MANJUM

CSD

CINDY L. REASER
KATHY J. AVULCH
JAMIE D. BAKER
VALERIE R. BYRD
MARSHA M. HARRIS

ST/ARA

LINDA B. LUPKIN

OWN/SURPLUS PROPERTY

WILLIAM J. FREE

OFFICE OF WORKFORCE DEVELOPMENT

Tim Allford, Director

Linda Bryan

Steve Walkley, Division Director

ADMINISTRATIVE

ADMINISTRATIVE UNIT

KATHLEEN R. STER

EO UNIT

LILLIAN PATTERSON

ALABAMA WORKFORCE INVESTMENT AREA

JIMMY L. LOLLAR
WILLIAM M. BABINGTON
L. GLENIE J. BROWN
ELIZABETH COCHRAN
TERRY COMER
KATHIE L. COTTON
TAMMY FAHNER
MARGARET S. HUGHES
MIHAILA CARR
KAREN M. MCINTOMERY
OVETTA MCSATIELLO
MITZI NOBLES
ALICE SCHALM
TRACY VANDER

PROGRAM INTEGRITY

MICKEY A. HUTTO**
JUANNE PEPPER BYLSMA
DORIS C. FEELEH
ANTHONY HAGAN
THOMAS MATT WALKER

STATE PLANNING / RAPID RESPONSE

RAYMOND A. CLENNEY
ALLEN B. FRIED
RICHARD V. JORDAN
ROBERT LEE
CHARLES W. TERRY JR.

ISM / REPORTING

BEN BARNES
GLENDA D. ALEXANDER
CHRISTINA C. BOYD
BARBARA C. BURNS
CAROL A. CARLEY
DAPHNE BAILLY
DARLA MARTIN
CLEO MILLER
JANELL POWELL
JUANITA POWELL
DONNA W. FLOVE
OLGA J. TAYLOR

WORKFORCE INITIATIVES

KEN W. HOLLINGSWORTH
LUNNIE E. NIBLEH
STEPHANIE R. HARRIS
WILLIS V. HOSS
LORILEY SANDERS

ONE STOP

MICKEY A. HUTTO**

COUNCILS / BOARDS

DENNIS T. HOPPER
JANNISE PEPPER DAME

** SUPERVISES BOTH SECTIONS

APPROVED:  DONI M. INGRAM
ACTING DIRECTOR JULY 01, 2006

COMMUNITY SERVICES BLOCK GRANT ASSISTANCE
ADMINISTRATION NETWORK

STATE OF ALABAMA

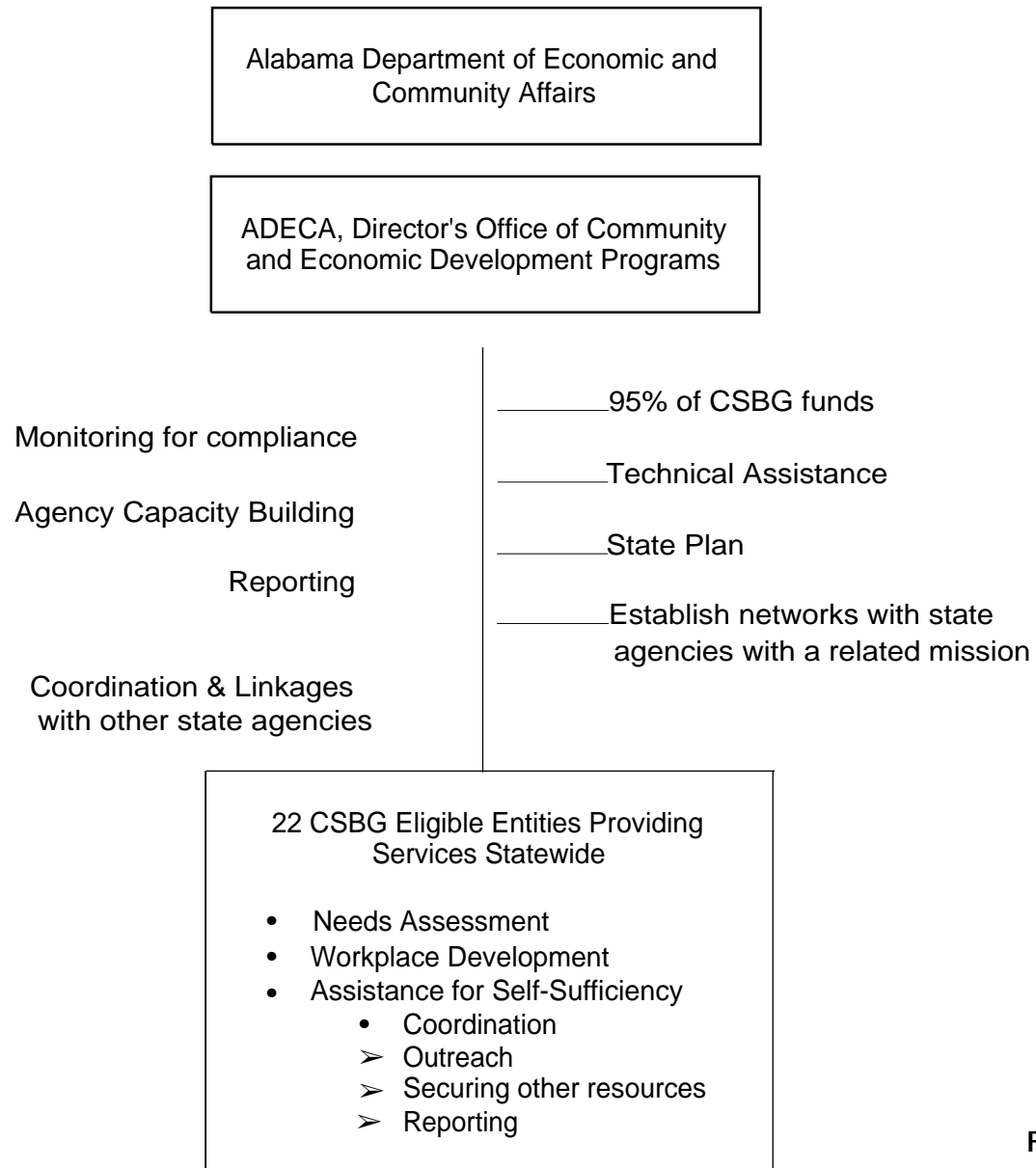


Figure 2

Appendix C

Community
Action
Agencies

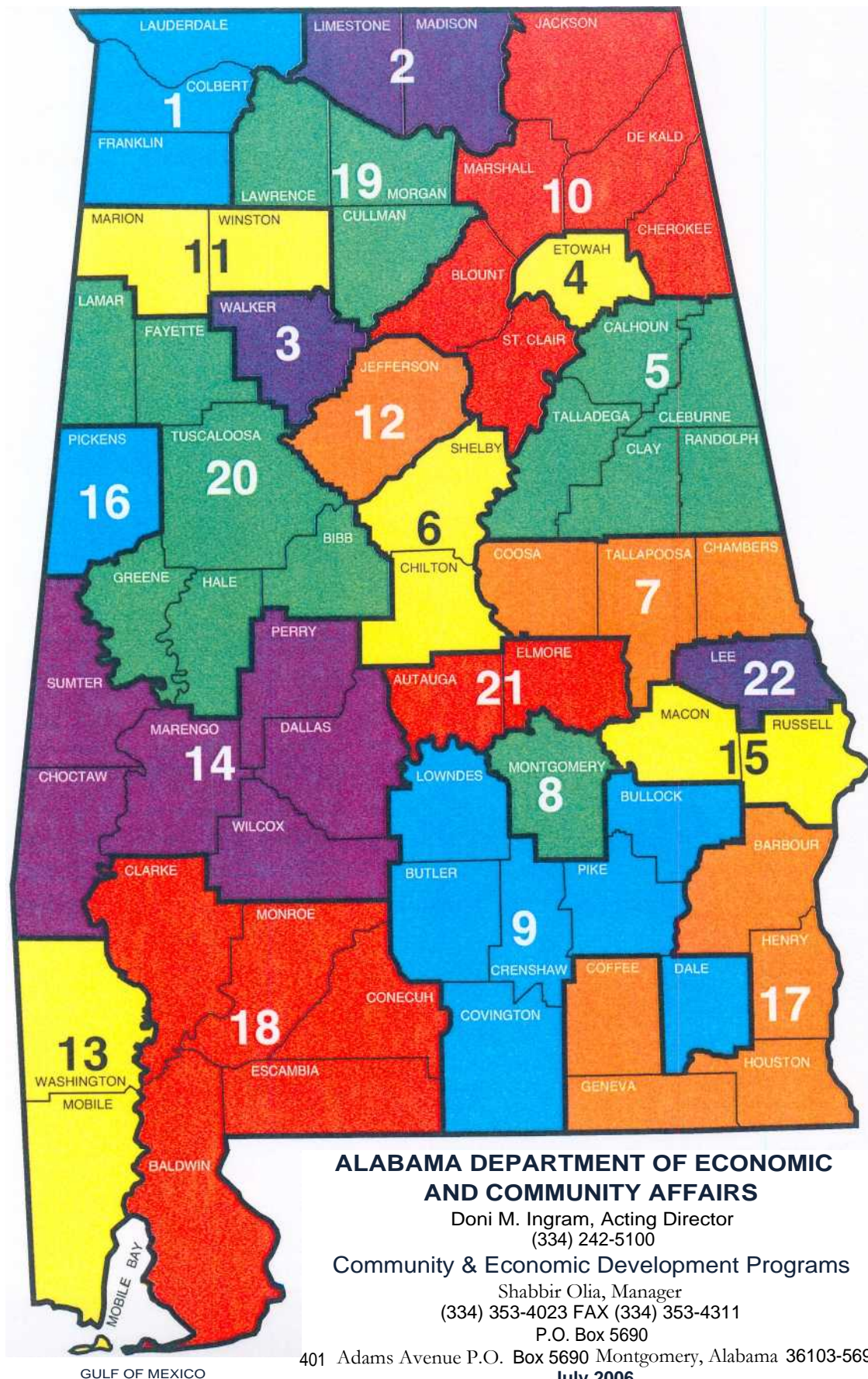
1. Community Action Agency of Northwest Alabama, Inc.
(Colbert, Franklin, and Lauderdale)
745 Thompson Street
Florence, AL 35630-3867
Executive Director: Tammy McDaniel
Telephone: (256) 766- 4330 FAX: (256) 766-4367
E-mail: caanw@bellsouth.net
2. Community Action Partnership of Huntsville. Madison and Limestone Counties, Inc., (Madison and Limestone)
3516 Stringfield Road, NW
P.O. Box 3975
Huntsville. AL 35810-0975
Chief Executive Officer: Leroy Gradford, Jr.
Telephone: (256) 851-9800 FAX: (256) 851-9803
E-mail: lgradford@caahtsval.org
3. Walker County Community Action Agency, Inc. (Walker)
1805 Airport Road
P.O. Drawer 421
Jasper, AL 35502
Acting Director: Jacqueline Terrell
Telephone: (205) 221-4010 / 221-0412 FAX: (205) 387-8125
E-mail: walkercountyc.596@bellsouth.net
4. Etowah County Community Services Program, Inc. (Etowah)
109 South 9th Street
P.O. Box **1888**
Gadsden, AL 35902
Executive Director: Gary Lewis
Telephone: (256) 546-9271 FAX: (256) **546-** 1272
E-mail: eccsp-director@comcast.net
5. Community Action Agency of Talladega, Clay, Randolph, Calhoun, and Cleburne Counties, Inc. (Clay, Randolph, Talladega, Calhoun, and Cleburne)
136 North Court Street
P.O. Box 278
Talladega, AL 35161
Executive Director: Horace Sims
Telephone: (256) 362-6611 FAX: (256) 362-9389
E-mail: inbox@caaler.com
6. Eleventh Area of Alabama Opportunity Action Committee, Inc. (Chilton and Shelby)
N5 Village Square, Hwy. 31
PO. Drawer 559
Clanton, AL **35045-0559**
Executive Director: Olivia W. Washington
Telephone: (205) 755-1204 / 755-1205 FAX: (205) 755-9017
E-mail: oacincl @bellsouth.net
7. Chambers-Tallapoosa-Coosa Community Action Committee, Inc. (Chambers, Tallapoosa, and Coosa)
172 South Broadnax Street
Dadeville, AL 36853
Executive Director David Boleware
Telephone: (256) 825-4287 FAX: (256) 825-4280
E-mail: davidboleware@bellsouth.net
8. Montgomery Community Action Committee, Inc. (Montgomery)
1066 Adams Avenue
Montgomery. AL 36104
Executive Director: Tom Gardner
Telephone: (334) 263-3474 FAX: (334) 262-8557
E-mail: aweary@montgomerycommunityaction.com
9. Organized Community Action Program, Inc.
(Bullock, Butler, Covington, Crenshaw, Dale, Lowndes, and Pike)
507 North Three Notch Street
P.O. Box 908
Troy, AL **36081-0908**
Executive Director: Ms. Mary Terry
Telephone: (334) 566-1712 FAX: (334) 566-7417
Email: ocap@troycable.net
10. Community Action Agency of Northeast Alabama, Inc.
(Blount, DeKalb, Jackson, Marshall, St. Clair, and Cherokee)
720 McCurdy Avenue, South
P.O. Box 1487
Rainsville, AL **35986**
Interim Executive Director Shelby Crawford
Telephone: (256) 638-4430 / 638-4431 FAX: (256) 638-4163
E-mail: caaneala@larnerstel.com
11. Marion-Winston Counties Community Action Committee, Inc.
(Marion and Winston)
First Street S.W.
P.O. Box 1716
Hamilton, AL 35570
Executive Director Lawrence Atkinson
Telephone: (205) 921-4224 FAX: (205) 921-3415
E-mail: mwcaa@sonet.net
12. Jefferson County Committee For Economic Opportunity (Jefferson)
300 Eight Avenue, West
Birmingham, AL 35204-3039
Executive Director Gayle Cunningham
Telephone: (205) 327-7500 FAX: (205) 326-4179
E-mail: gcjceo@aol.com
13. Mobile Community Action, Inc. (Mobile and Washington)
204 East Main Street
Prichard, AL 36610
Executive Director Jimmy Knight
Telephone: (251) 457-5700 FAX: (251) 457-5721
E-mail: css@mcamobile.org
14. Dallas-Selma Community Action Agency, and Community Development Corp., Inc.
(Choctaw, Dallas, Marengo, Perry, Sumter, and Wilcox)
713 Jeff Davis Avenue
PO. Box 980
Selma, AL 36701
Executive Director: John W. Williams, J.D.
Telephone: (334) 875-2450 FAX: (334) 872-3590
E-mail: DSCA@bellsouth.net
15. Macon-Russell Community Action Agency, Inc.
(Macon and Russell)
102 Lakeview Road
Tuskegee, AL **36083**
Executive Director: James M. Upshaw, Jr.
Telephone: (334) 727-6100 FAX: (334) 727-6105
E-mail: mrcaa@bellsouth.net
16. Pickens Community Action Committee, Inc. (Pickens)
Farm Bureau Building
PO. Box **348**
Carrollton, AL 35447
Executive Director: Cynthia Simpson
Telephone: (205) **367-8166** FAX: (205) 367-8167
E-mail: csimpson@nctv.com
17. Human Resource Development Corporation
(Barbour, Coffee, Geneva, Henry, Houston)
101 George Wallace Drive
PO. Box 31-1407
Enterprise, AL **36331-1407**
Executive Director Peggi C. James
Telephone: (334) 347-0881 FAX: (334) 393-0048
E-mail: hrdcpiames@aol.com
18. Community Action Agency of Baldwin, Escambia, Clarke, Monroe and Conecuh Counties, Inc.
(Baldwin, Clarke, Escambia, Monroe and Conecuh)
26440 Pollard Road
P.O. Box 250
Daphne, AL **36526**
Executive Director: Cassandra Boykin
Telephone: (251) 626-2646 FAX: (251) 626-2613
E-mail: caatbecmc@bellsouth.net
19. Community Action Partnership of North Alabama, Inc. (Cullman, Lawrence, and Morgan)
1909 Central Parkway S. W.
P.O. Box 1788
Decatur, AL 35601
Executive Director: Michael Tubbs
Telephone: (256) **355-7843** FAX: (256) 355-7953
E-mail: mike@capna.org
20. Community Service Programs of West Alabama, Inc.
(Bibb, Fayette, Greene, Hale, Lamar, and Tuscaloosa)
601 Seventeenth Street
Tuscaloosa, AL 35401
Executive Director: Cynthia W. Burton,
Telephone: (205) 758-4566 / 752-5429 / 752-7254
FAX: (205) 758-7229
E-mail: cburton@cspwal.com
21. Elmore-Aulauga Community Action Committee
(Autauga and Elmore)
504 Autauga Street
PO. Box 967
Welumpka, AL 36092-0967
Executive Director: Marion Dunlap
Telephone: (334) 567-4361 FAX: (334) 567-0755
E-mail: Mdunlap@EACAC.com
22. Alabama Council On Human Relations, Inc. (Lee)
319 West Glenn Street
PO. Box 409
Auburn, AL 36831-0409
Executive Director Jerry Roden, Jr.
Telephone: (334) 821-8336 FAX: (334) 826-6397
E-mail: almag@achr.com
- 'Community Action Agency Association of Alabama
Suite 674
950 22nd Street North
Birmingham, AL 35203
Executive Director: Lukata Mjumba
Telephone: (205) 458-8875 FAX: (205) 458-8876
Mobile: 205-826-8076
E-mail: lmjumba@caaalabama.org
Web Site. www.caaalabama.org

Appendix D

CSBG

Geographic
Areas

ALABAMA COMMUNITY ACTION PROGRAMS



ALABAMA DEPARTMENT OF ECONOMIC AND COMMUNITY AFFAIRS

Doni M. Ingram, Acting Director
(334) 242-5100

Community & Economic Development Programs

Shabbir Olia, Manager
(334) 353-4023 FAX (334) 353-4311
P.O. Box 5690

401 Adams Avenue P.O. Box 5690 Montgomery, Alabama 36103-5690
July 2006

Appendix E

Alabama Code

§§ 11-96-1-6

**CODE OF
ALABAMA
1975**

VOLUME 10

**Title 11. Counties and Municipal Corporations
(chapter 51 to end)**

PROPERTY OF THE STATE OF ALABAMA

CHAPTER 96.

COMMUNITY ACTION AGENCIES.

Sec.

11-96-1. Appropriation of funds.

11-96-2. Allocation of appropriated funds in proportion to size of poverty level population served.

11-96-3. Community action agency; defined; governing board; bi-annual audit; delegation of responsibility; service area; consultation with neighborhood based organizations; powers and duties.

Sec.

11-96-4. Limited purpose agencies; defined; governing board; bi-annual audit; delegation of responsibility; service area; consultation and planning.

11-96-5. Community action program defined; components of program; administration.

11-96-6. Continuation of certain community action agencies.

Code Commissioner's note. — Acts 1982, No. 82-494, p. 818, § 8, provides that the Governor's staff which currently administers

the community services block grant shall assist in the development of delivery of services as provided in this chapter.

§ 11-96-1. Appropriation of funds.

Ninety-five percent of all moneys received by the State of Alabama through the Community Services Block Grant Act, Section 671 of the Omnibus Budget Reconciliation Act of 1981 shall be appropriated to community action agencies and certain other agencies as defined in Section 11-96-3 to carry out programs under Section 11-96-4 herein. The Legislature is authorized to appropriate any other funds that might become available for the purposes as described in this chapter. (Acts 1982, No. 82-494, p. 818, § 1.)

U.S. Code. — The Community Services Block Grant Act, referred to in the first sentence, is codified as 42 U.S.C. 9901 et seq.

§ 11-96-2. Allocation of appropriated funds in proportion to size of poverty level population served.

Funds appropriated for the purpose of Section 11-96-1 shall be allocated annually to community action agencies in proportion to the size of the poverty level population served by the agency when compared to the size of the poverty level population and available resources in the state. "Poverty level population" means the number of people whose household income is below the official poverty line established by the United States Director of the Office of Management and Budget. (Acts 1982, No. 82-494, p. 818, § 2.)

§ 11-96-3. Community action agency; defined; governing board; bi-annual audit; delegation of responsibility; service area; consultation with neighborhood based organizations; powers and duties.

(a) A "community action agency" for the purposes of this chapter shall include the following:

- (1) A county, a municipality or a combination thereof;
- (2) A private non-profit agency which has been designated as an "eligible entity" under Section 673(1) of the Community Services Block Grant Act; or
- (3) A private non-profit agency newly established by local ordinance in compliance with subsection (b) of this section.

(b) Each community action agency shall administer its programs through a governing board consisting of 15 to 51 members.

(1) One-third of the members of the board shall be elected or appointed public officials, currently holding office or their representatives. These members shall be designated or approved by the chief elected local government official or officials of the jurisdiction which they represent.

(2) At least one-third of the members shall be persons chosen in accordance with democratic selection procedures adequate to assure that they are representative of the poor in the area served by the agency.

(3) The other members shall be officials or members of business, industry, labor, religious, welfare, education, housing or other major groups and interests in the community. Each member of the board selected to represent a specific geographic area within an appointed community must reside in the area represented.

Procedures for selection of board members shall be submitted to county commissions within the community action agency service area for their review. This procedure may be set out in the by-laws governing the community action agency.

(c) Each community action agency receiving funds under this chapter shall prepare a bi-annual audit to be made available to the public, to state and local government.

(d) If a community action agency delegates responsibility for major policy determinations with respect to the character, funding, extent, and administration of the budgeting for programs to be carried on in a particular geographic poverty or low-income area within the community in a subsidiary board, council, or similar agency, that board, council, or agency shall be broadly representative of the area.

(e) The specific service area of any community action agency must be specific in its charter. No community action agency service areas shall overlap; nor shall any new service area include less than 50,000 total population. This population requirement shall not affect existing community action agencies, nor affect the authority of an existing agency to expand into an area not already served by a community action program.

(f) Each community action agency shall consult neighborhood-based organizations composed of residents of the area of members of the groups served to assist the agency in planning, conduct, and evaluation of components of the community action program.

(g) A community action agency shall:

- (1) Plan systematically for an effective community action program;
- (2) Encourage agencies engaged in activities related to the community action program to administer assistance on a common or cooperative basis;
- (3) Initiate and sponsor projects responsive to needs of the poor which are not otherwise being met, with particular emphasis on providing central or common services that can be drawn upon by a variety of related programs;
- (4) Establish effective procedures by which the poor and area residents concerned will be enabled to influence the character of programs affecting their interests;
- (5) Join with and encourage business, labor and other private groups and organizations to undertake activities which will result in the additional use of private resources and capabilities, and otherwise carry out its purposes as approved by its governing board. (Acts 1982, No. 82-494, p. 818, § 3.)

U.S. Code. — Section 673(1) of the Community Services Block Grant Act, referred to in subdivision (a)(2), is codified as 42 U.S.C. § 9902 (1).

Cross references. — As to coverage under the Teachers' Retirement System of Alabama for employees and officers of any community action agency, see § 16-25-10.7.

§ 11-96-4. Limited purpose agencies; defined; governing board; bi-annual audit; delegation of responsibility; service area; consultation and planning.

(a) A limited purpose agency qualifying for funds from the community services administration block grant is a community-based and -operated program which:

(1) Was designated as an eligible entity to receive funds from the community services block grant program under Public Law 97-115, the "Older Americans Act Amendments of 1981" or administer a head start program.

(2) Performs the functions of community action agencies, but which is not technically a community action agency.

(3) Received funds in fiscal year 1981 under Section 221 and Section 222 (a) or under Title IV of the Economic Opportunity Act of 1964.

(b) Each limited purpose agency shall administer its programs through a governing board consisting of 15 to 50 members.

This board shall be representative of the population of its service area, and representative of the poor, as well as representatives from the business community and other agencies.

(c) Each limited purpose agency receiving funds under this chapter shall prepare a bi-annual audit to be made available to the public, and to state and local government.

(d) If a limited purpose agency delegates responsibility for major policy determinations with respect to the character, funding, extent, and administration of the budgeting for programs to be carried on in a particular geographic poverty or low-income area within the community in a subsidiary board, council, or similar agency, that board, council, or agency shall be broadly representative of the area.

(e) The specific area of any limited purpose agency must be specified in its charter. No limited purpose agency may service any area that overlaps with the service areas of a community action agency unless the limited purpose agency is operating a program that is clearly statewide in its scope.

(f) Each limited purpose agency shall adhere to the principles of consultation and planning as defined for community action agencies and defined under Section 11-96-3. (Acts 1982, No. 82-494, p. 818, § 4.)

U.S. Code. — Public Law 97-115, referred to in subdivision (a)(1), is codified at 20 U.S.C. § 1087-2 and 42 U.S.C. §§ 3001 et seq., 8622, 9902, 9904, and 9911. Section 221 and Section 222 of the Economic Opportunity Act of 1964,

referred to in subdivision (a)(3), are codified at 42 U.S.C. §§ 2808 and 2809(a), respectively. Title IV of the Economic Opportunity Act of 1964, referred to in subdivision (a)(3), is codified at 42 U.S.C. § 2751 et seq.

§ 11-96-5. Community action program defined; components of program; administration.

(a) A community action program is a community-based and -operated program which:

(1) Includes or is designated to include a sufficient number of projects of components to provide, in sum, a range of services and activities having a measurable and potentially major impact on causes of poverty in the community or those areas of the community where poverty is a particularly acute problem;

(2) Has been developed, and which organizes and combines its component projects and activities, in a manner appropriate to carry out all the purposes of Sections 11-96-2 and 11-96-4; and

(3) Conforms to any other supplementary criteria as may be prescribed by federal or state laws or regulations.

(b) The components of a community action program may include programs designated to assist participants, including the elderly poor, to:

(1) Secure and retain meaningful employment;

(2) Attain an adequate education;

(3) Make better use of available income;

(4) Obtain and maintain adequate housing and a suitable living environment;

(5) Obtain emergency assistance through loans or grants to meet immediate and urgent individual and family needs, including the need for health services, nutritious food, housing, employment and energy related assistance;

(6) Remove obstacles and solve problems which block the achievement of self-sufficiency;

- (7) Achieve greater participation in the affairs of the community; and,
- (8) Make more frequent and effective use of other programs related to the purposes of Sections 11-96-2 and 11-96-4.

(c) Components of a community action program may be administered by the community action agency when consistent with sound and efficient management and applicable law, or by other agencies. There may be projects eligible for assistance under Section 11-96-2, or projects assisted from other public or private sources; and they may be either specially designed to meet local needs or designed pursuant to the eligibility standards of a state or federal program providing assistance to a particular kind of activity which will help in meeting those needs. (Acts 1982, No. 82-494, p. 818, § 5.)

§ 11-96-6. Continuation of certain community action agencies.

Any community action agency, whether public or private which has been designated as such at the time of passage of this chapter and which has received funding as an "eligible entity" under Section 673(1) of the Community Services Block Grant Act shall maintain such designation and shall continue to receive any funds designated for community action programs as long as those agencies comply with the provisions of this chapter and all other applicable state or federal laws or regulations.

Provided, however, that this section shall not be construed to affect the eligibility of newly established community action agencies designated to serve areas not already served by a community action program to receive funding under the community action programs. (Acts 1982, No. 82-494, p. 818, § 6.)

Code Commissioner's note. — The original text of the first paragraph referred to "Section 672(1) of the Community Services Block Grant Act". The language "673(1)" was substituted by the Code Commissioner to reflect the apparent appropriate reference.

Cross references. — As to coverage under

the Teachers' Retirement System of Alabama for employees and officers of any community action agency, see § 16-25-10.7.

U.S. Code. — Section 673(1) of the Community Services Block Grant Act, referred to in the first paragraph, is codified as 42 U.S.C. § 9902(1).

CODE OF ALABAMA

1975

2004 Cumulative Supplement

ANNOTATED

Prepared under the Supervision of
The Legislative Council
Jerry L. Bassett, Code Commissioner
by
The Editorial Staff of the Publisher

VOLUME 10

1994 REPLACEMENT VOLUME

*Including Acts through the 2004 Regular Session
and annotations taken through Southern Reporter,
Second Series, Volume 874, page 492*

**Place on Shelf After Corresponding Volume of Main Set.
This Supersedes Previous Supplement, Which
May Be Retained for Reference Purposes.**

THOMSON



WEST

825 (Ala.1995), rehearing overruled. Municipal Corporations ⇨ 743

Water works and sewer board was not entitled to have jury verdict in favor of 14 residents remitted to aggregate of \$100,000 from total award of \$179,830 for property damage resulting from board's negligent design and/or maintenance of sewer system, as \$100,000 cap was not aggregate limitation but limitation on individual awards, and each resident's award was easily within cap. *Carson v. City of Prichard*, 709 So.2d 1199 (Ala.1998). Municipal Corporations ⇨ 845(7)

Damages based on intentional interference with business relations are not subject to statutory cap applicable to actions against governmental entity. *City of Birmingham v. Business Realty Inv. Co.*, 722 So.2d 747 (Ala.1998). Municipal Corporations ⇨ 743

Claims against county commissioners and employees in their official capacity are, as a matter of law, claims against county and are subject to statutory damages cap. *Smitherman v. Marshall County Com'n*, 746 So.2d 1001 (Ala.1999), rehearing denied. Counties ⇨ 141

Alabama statute limiting recovery of damages against governmental entity to "\$100,000 for bodily injury or death" and to "100,000 for damage or loss of property" did

not apply to preclude city's indemnification of police officials found liable, under § 1983, for violating police officers' free speech rights. *Oladeinde v. City of Birmingham*, 118 F.Supp.2d 1200 (N.D.Ala.1999). Municipal Corporations ⇨ 189(3)

Prior judgment against sewer board for negligence causing sewage to overflow into residents' yards and homes after heavy rains involved the same subject matter as, and was res judicata bar to, subsequent suit based on contention that each overflow of the sewerage system was a separate compensable injury; the board's failure to remedy the overflow was a single occurrence, and the residents complained of continued sewage problems that were proximately caused by the same occurrence. *Williams v. Board of Water and Sewer Com'rs of City of Prichard*, 763 So.2d 938 (Ala.1999). Judgment ⇨ 605

After an improvement is complete the city is responsible for the careless and negligent manner in which it is maintained by it. *City of Birmingham v. Leberte*, 773 So.2d 440 (Ala.2000). Municipal Corporations ⇨ 733(1)

Statutes limiting city's liability for torts to no more than \$300,000 did not apply to attorney fee award exceeding that amount. *City of Birmingham v. Horn*, 810 So.2d 667 (Ala.2001).

CHAPTER 96.

COMMUNITY ACTION AGENCIES.

§ 11-96-3. Community action agency; defined; governing board; biannual audit; delegation of responsibility; service area; consultation with neighborhood based organizations; powers and duties.

CASENOTES

Employee benefit plan of non-profit private corporation was not "governmental plan," and thus not thereby exempt from ERISA coverage, where corporation's only claim to gov-

ernmental status consisted of fact that its activities and operating expenses were funded through Community Service Block Grant Act. *Dickerson v. Alexander Hamilton Life Ins. Co. of America*, 130 F.Supp.2d 1271 (N.D.Ala.2001). Pensions ⇨ 28

CHAPTER 98.

EMERGENCY TELEPHONE SERVICE.

Sec.

11-98-1. Definitions.
11-98-2. Communications districts; creation; composition; powers and duties.

Sec.

11-98-4. Board of commissioners.
11-98-5. Emergency telephone service charge.
11-98-6. Definitions.

Alabama Code §§41-23-1-8

CHAPTER 23.

DEPARTMENT OF ECONOMIC AND COMMUNITY AFFAIRS.

ARTICLE 1.		Sec.	
GENERAL CONSIDERATION			
Sec.			preference to Alabama manu- facturers; endorsement resolu- tion; certification as to employ- ees; wage subsidies.
41-23-1.	Creation; composition; transfer of functions, etc., to depart- ment.	41-23-31.	Rules and regulations; coopera- tion of agencies.
41-23-2.	Implementation of duties and functions by employees in clas- sified service; salaries.	41-23-32.	Federal tax exemptions and en- terprise zone legislation.
41-23-3.	Transfer of appropriations to de- partment.	ARTICLE 3.	
41-23-4.	Appointment of director; term of office; salary; qualifications; planning and programming by department.	ECONOMIC DEVELOPMENT REVOLVING LOAN FUNDS.	
41-23-5.	Establishment of divisions; ap- pointment of division chiefs; oath of office; restrictions on employment; directors of merged agencies abolished; purpose of chapter.	41-23-50.	Creation of economic develop- ment revolving loan funds; re- gional planning and develop- ment commissions to disburse moneys; administration; use of funds; interest and costs.
41-23-6.	Promulgation of rules and regula- tions.	41-23-51.	Regional Revolving Loan Policy Committee; duties; member- ship; length of terms; meet- ings.
41-23-7.	Legislative oversight commission.	ARTICLE 4.	
41-23-8.	Traffic Safety Trust Fund estab- lished.	ALABAMA SMALL BUSINESS INCUBATOR ACT	
ARTICLE 2.		41-23-60.	Short title.
ALABAMA ENTERPRISE ZONE ACT		41-23-61.	Definitions.
41-23-20.	Short title; legislative findings and purpose.	41-23-62.	Responsibilities of loan policy committee.
41-23-21.	Definitions.	41-23-63.	Promulgation of rules and regula- tions governing allocation and accountability of funds.
41-23-22.	Enterprise zones generally.	41-23-64.	Establishment of grant programs.
41-23-23.	Applications; selection of zones; enterprise zone advisory coun- cil; legislative oversight com- mittee.	41-23-65.	Use of funds; remuneration.
41-23-24.	Tax incentives; adoption of rules to implement tax credits and incentives; maximum tax cred- it per new permanent employ- ee; application of tax credits; tax credits are in addition to exemptions and credits under Chapter 18 of Title 40; no credits for taxes to other states.	41-23-66.	Disbursed grant funds not uti- lized to revert to loans; repay- ment.
41-23-25.	Nontax incentives.	ARTICLE 5.	
41-23-26.	Additional requirements for busi- ness, etc., to receive benefits.	ALABAMA RESIDENTIAL ENERGY CODE BOARD	
41-23-27.	Annual report of department.	41-23-80.	Legislative findings.
41-23-28.	Required agreement by appropri- ate governing authority.	41-23-81.	Definitions.
41-23-29.	Powers and duties of department.	41-23-82.	Established; method of appoint- ment of members; purpose; supervision.
41-23-30.	Contracts with eligible businesses to provide for tax exemptions;	41-23-83.	Terms; vacancies; compensation; expenses.
		41-23-84.	Meetings; officers; quorum; adoption or resolution of busi- ness; agenda; division chief; committees.
		41-23-85.	Board to serve in advisory capaci- ty to division; functions of board; division to be responsi-

Sec. ble for considering recommen-
 dations.

ARTICLE 6.

NEIGHBORS HELPING NEIGHBORS FUND

41-23-100. Establishment of fund; sources of
 monies.

Sec.

41-23-101. Eligible recipients: assistance
 funds provided by Director of
 Department of Economic and
 Community Affairs; adminis-
 trative costs; reports.

41-23-102. Designation of part of taxpayer's
 refund as voluntary contribu-
 tion.

CROSS REFERENCES

As to Alabama Revolving Loan Fund Author-
ity, see Article 6 of Chapter 85 of Title 11.

As to the responsibility of the Director of the
Department of Economic and Community Af-
fairs for the distribution, transfer, or disposal
of surplus personal property owned by the
state, see Article 6 of Chapter 16 of this title.

ADMINISTRATIVE CODE

6 Ala. Admin. Code, Department of Econom-
ic and Community Affairs; General.
305-0-1-.01 through 305-0-1-.04.

6 Ala. Admin. Code, Department of Econom-
ic and Community Affairs; ADECA Depart-
ment and Suspension Policy; 305-0-2-.01
through 305-0-2-.08.

6 Ala. Admin. Code, Department of Econom-
ic and Community Affairs; Employment and
Training Division; 305-1-1-.01 through
305-1-1-.05.

6 Ala. Admin. Code, Department of Econom-
ic and Community Affairs; Law Enforcement
Planning Division; 305-4-2-.01, 305-4-2-.02,
305-4-3-.01.

6 Ala. Admin. Code, Department of Econom-
ic and Community Affairs; Administrative Di-
vision Audit and Review Section. 305-6-1-.01
through 305-6-1-.08.

ARTICLE 1.

GENERAL CONSIDERATION.

§ 41-23-1. Creation; composition; transfer of functions, etc., to department.

There is hereby created and established the Department of Economic and
Community Affairs within the Office of the Governor and directly under his
supervision and control. The Department of Economic and Community
Affairs shall consist of: the Governor, the Office of State Planning and
Federal Programs, the Alabama Department of Energy, the Alabama Law
Enforcement Planning Agency, the Office of Highway and Traffic Safety, the
Office of Employment and Training, and the Office of Water Resources as
presently created by and provided for in Sections 41-9-205 through 41-9-214,
Sections 41-6A-1 through 41-6A-11, Sections 41-8A-1 through 41-8A-4,
Sections 41-8A-8 through 41-8A-10, and Sections 41-8A-12 through
41-8A-13, 32-4-1 through 32-4-7, Executive Order No. 34, 1980, and Sec-
tions 9-10B-1 through 9-10B-30, respectively, and in accordance with the
applicable federal laws. All respective functions, duties, responsibilities,
obligations, property rights, appropriations, employees, property, and supplies
as provided by said sections, and whether accruing or vesting, are hereby
transferred to and vested in the Department of Economic and Community
Affairs. (Acts 1983, 2nd Ex. Sess., No. 83-194, p. 363, § 1; Acts 1993, No.
93-44, p. 78, § 1.)

HISTORY

The 1993 amendment, effective February 23, 1993, in the second sentence, inserted "the" preceding "Alabama Law Enforcement Planning Agency," deleted "and" following "the Office of Highway and Traffic Safety," inserted "and the Office of Water Resources" preceding "as presently created," deleted "and" preceding "Executive Order No. 34, 1980," and inserted "and Sections 9-10B-1 through 9-10B-30" preceding "respectively."

ADMINISTRATIVE CODE

6 Ala. Admin. Code, Department of Economic and Community Affairs; Office of State

Planning and Federal Programs Division.
305-5-1-.01 through 305-5-1-.42.

RESEARCH REFERENCES**American Digest System:**

States ⇨45, 67.

Corpus Juris Secundum:

C.J.S. States §§ 79-80, 82, 120-121, 136-138, 140.

§ 41-23-2. Implementation of duties and functions by employees in classified service; salaries.

Present employees in the classified service of the state Merit System within each agency transferred in Section 41-23-1 whose job classifications are not abolished hereinafter, shall continue with the Department of Economic and Community Affairs in such functions deemed necessary to carry out the duties and responsibilities of the Department of Economic and Community Affairs. Other employees necessary to implement the duties and functions of the Department of Economic and Community Affairs may be employed subject to the provisions of the state Merit System laws and shall be entitled to the same rights and benefits thereunder. Salaries set for such employees shall not exceed the salary set by law for executive department heads.

Nothing herein shall be construed to prevent or preclude the removal of an employee in a manner provided by this article, or for cause in a manner provided by law. (Acts 1983, 2nd Ex. Sess., No. 83-194, p. 363, § 2.)

ADMINISTRATIVE CODE

6 Ala. Admin. Code, Department of Economic and Community Affairs; Office of State Planning and Federal Programs Division.
305-5-1-.01 through 305-5-1-.42.

RESEARCH REFERENCES**American Digest System:**

States ⇨60, 66, 73.

Corpus Juris Secundum:

C.J.S. States §§ 104-108, 120, 124, 130-136, 140.

CASENOTES**Generally 1****1. Generally**

Division chief of Alabama Department of Economic and Community Affairs (ADECA),

who was not placed in exempt service or unclassified service by Alabama Merit System Act, was "classified employee"; division chief was not policy-maker, but rather implemented goals and policies set by director, division chief's political affiliation was not necessary for continued efficiency in job, division chief was under supervision of, and reported directly to, director of ADECA, and promulgation of rules and regulations for ADECA was left solely to director, not division chief. *James v. McKinney*, 729 So.2d 264 (Ala.1998), rehearing denied.

§ 41-23-3. Transfer of appropriations to department.

All appropriations heretofore or hereafter made to: The Office of State Planning and Federal Programs, the Alabama Department of Energy, the Alabama Law Enforcement Planning Agency, the State Manpower Planning Council (CETA), the Office of Highway and Traffic Safety, and the Office of Employment and Training are hereby consolidated and transferred to the Department of Economic and Community Affairs. (Acts 1983, 2nd Ex. Sess., No. 83-194, p. 363, § 3.)

ADMINISTRATIVE CODE

6 Ala. Admin. Code, Department of Economic and Community Affairs; Office of State

Planning and Federal Programs Division.
305-5-1-.01 through 305-5-1-.42.

§ 41-23-4. Appointment of director; term of office; salary; qualifications; planning and programming by department.

(a) The Governor shall appoint a chief administrative officer to be designated as Director of the Department of Economic and Community affairs. Such officer shall serve at the pleasure of the Governor at a salary to be set in the same manner and with the same limitations as otherwise provided by law for executive department heads. The director of the said department shall be a member of such boards, councils and commissions, as they relate to his authority under the provisions of this article, and as required and currently authorized under the various federal programs and as approved by the Governor.

(b) The Governor through the Department of Economic and Community Affairs shall encourage comprehensive and coordinated planning and programming of economic and community affairs. (Acts 1983, 2nd Ex. Sess., No. 83-194, p. 363, § 4.)

ADMINISTRATIVE CODE

6 Ala. Admin. Code, Department of Economic and Community Affairs; Office of State

Planning and Federal Programs Division.
305-5-1-.01 through 305-5-1-.42.

§ 41-23-5. Establishment of divisions; appointment of division chiefs; oath of office; restrictions on employment; directors of merged agencies abolished; purpose of chapter.

(a) The Director of the Department of Economic and Community Affairs, with the approval of the Governor, may establish such division or divisions as may, in his discretion, be reasonably necessary for the administration and enforcement of any law, rule or regulation with which the department is charged or the performance of any of its functions or duties. Each division in the department shall be headed by and be under the direction, supervision and control of an officer who shall be designated as the chief of such division. All chiefs of divisions shall be appointed by the director of said department, with the approval of the Governor. Before entering upon the discharge of

their duties, such chiefs of divisions shall take the constitutional oath of office. Each of such officers shall devote his full time to his official duties and shall hold no other lucrative position while serving as such. The offices or positions of director of any of the merged departments or agencies are hereby abolished.

(b) It is one of the purposes of this article to coordinate, into one department, the functions of the Office of State Planning and Federal Programs, the Alabama Department of Energy, Alabama Law Enforcement Planning Agency, the Office of Highway and Traffic Safety, and the Office of Employment and Training or any successor thereto. There is hereby established the following divisions: The Office of State Planning and Federal Programs, the Alabama Department of Energy, Alabama Law Enforcement Planning Agency, the Office of Highway and Traffic Safety, and the Office of Employment and Training. The functions of each division shall be administered by a division chief who shall be full-time and salaried as now provided by law. Each division chief shall report to and be under the supervision of the Director of the Department of Economic and Community Affairs. (Acts 1983, 2nd Ex. Sess., No. 83-194, p. 363, § 5.)

ADMINISTRATIVE CODE

6 Ala. Admin. Code, Department of Economic and Community Affairs; Office of State

Planning and Federal Programs Division.
305-5-1-.01 through 305-5-1-.42.

§ 41-23-6. Promulgation of rules and regulations.

The Director of the Department of Economic and Community Affairs may prescribe such reasonable rules and regulations for the conduct of its business and made in accordance with the Alabama Administrative Procedure Act. (Acts 1983, 2nd Ex. Sess., No. 83-194, p. 363, § 6.)

ADMINISTRATIVE CODE

6 Ala. Admin. Code, Department of Economic and Community Affairs; Office of State

Planning and Federal Programs Division.
305-5-1-.01 through 305-5-1-.42.

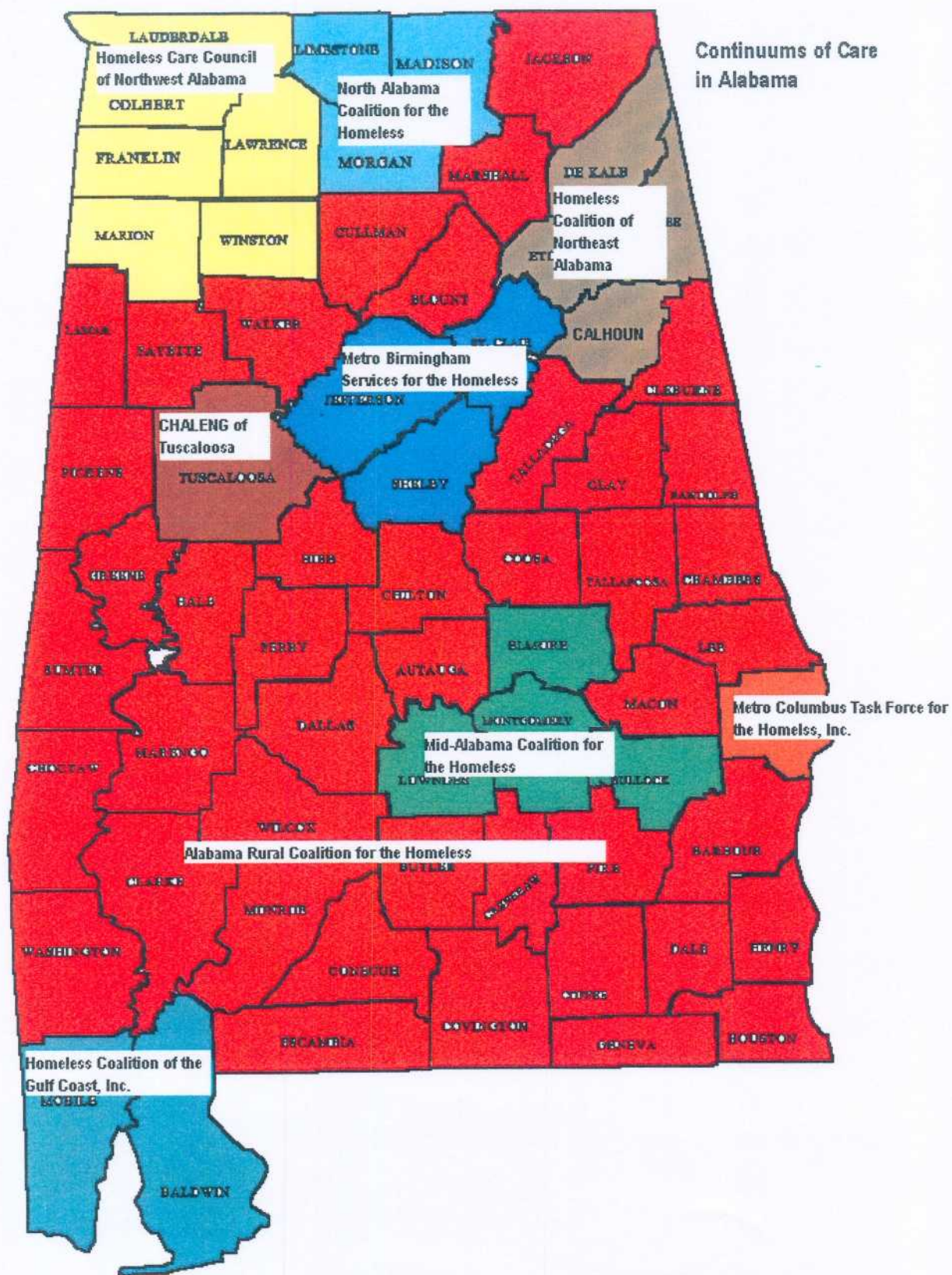
§ 41-23-7. Legislative oversight commission.

(a) There is hereby created the Department of Economic and Community Affairs Legislative Oversight Commission to consist of the Chairman and Deputy Chairman of the Senate Committee on Finance and Taxation, three members of the Senate to be appointed by the Lieutenant Governor, the Chairman and Vice-chairman of the House Ways and Means Committee, and three members of the House of Representatives to be appointed by the Speaker of the House.

(b) The commission shall hold an organizational meeting within 30 days after this bill is enacted, and shall elect a chairman and vice-chairman from among its members. Thereafter, the commission shall meet at least two times annually, and additional meetings shall be held at the call of the chairman or upon the request of six or more members. Such meetings shall

Appendix F

Continuums of Care in Alabama



Appendix G

Client Characteristics

Program Participant Characteristics FY 2005

1. Number of Agencies Reporting	22	
2. Total resources in agencies reporting, including CSBG		\$140,952,735
3. Total unduplicated number of persons about whom one or more characteristics were obtained		222,751
4. Total unduplicated number of persons whom no characteristics were obtained		67,627
5. Total unduplicated number of families about whom one or more characteristics were obtained		97,926
6. Total unduplicated number of families about whom no characteristics were obtained		52,128

7. GENDER	NUMBER OF PERSONS	13. FAMILY SIZE	NUMBER OF FAMILIES ***
a. Male	74,595	a. One	28,493
b. Female	144,757	b. Two	21,790
TOTAL	219,352	c. Three	19,717
		d. Four	12,637
		e. Five	8,090
8. AGE	NUMBER OF PERSONS	f. Six	3,635
a. 0-5	35,558	g. Seven	1,502
b. 6-11	29,480	h. Eight or more	1,049
c. 12-17	27,698	TOTAL	96,913
d. 18-23	20,885		
e. 24-44	38,057	14. Source of Family Income	NUMBER OF FAMILIES
f. 45-54	23,713	a. Unduplicated # of Families	80,135
g. 55-69	27,164	b. No Income	12,690
h. 70 +	17,389	c. TANF	5,639
TOTAL *	219,944	d. SSI	21,791
		e. Social Security	27,811
9. Ethnicity/Race	NUMBER OF PERSONS *	f. Pension	2,558
I. Ethnicity		g. General Assistance	1,387
a. Hispanic or Latino	1,987	h. unemployment Insurance	2,693
b. Not Hispanic or Latin	157,418	i. Employment + other sources	11,110
TOTAL *	159,405	j. Employment only	19,477
II. Race		k. Other	10,150
a. African American	132,403	15. Level of Family Income	
b. White	79,217	(% of HHS Guidelines)	NUMBER OF FAMILIES
c. Other	3,637	a. Up to 50%	21,804
d. Multi-race (any 2 or more above)	2,552	b. 51% to 75	30,732
TOTAL **	217,809	c. 76% to 100	29,180
		d. 101% to 125	8,018
10. Education Level of adult	#of Persons 24 & older	e. 126% to 150	2,543
a. 0-8	20,656	f. 151% and over	1,897
b. 9-12/non graduate	28,578	TOTAL ***	94,174
c. high school grad/GED	28,715		
d. 12 + some post secondary	10,468	16. HOUSING	NUMBER OF FAMILIES
e. 2 or 4 yr college graduates	5,946	a. Own	34,516
TOTAL **	94,363	b. Rent	47,123
		c. Homeless	292
11. Other Characteristics	NUMBER OF PERSONS*	d. Other	4,262
	#persons / #surveyed	TOTAL ***	86,193
a. No health insurance	65,305 / 180,202		
b. Disabled	30,863 / 169,376		
12. Family Type	NUMBER OF FAMILIES ***		
a. Single parent/female	38,126		
b. Single parent/male	3,062		
c. Two-parent household	16,455		
d. Single person	24,721		
e. Two adults/no children	10,133		
f. Other	4,001		
TOTAL ***	96,498		

* The sum of this category should not exceed the value of item

** The sum of this category should not exceed the value of Items 8

** The sum of this category should not exceed the value of item

Appendix H

ROMA Report

Alabama Community Action Agencies Outcomes of Efforts, FY 2005
National Performance Indicators

Goal 1: Low-income people become more self sufficient.

National Performance Indicator 1.1

Employment: The number and percentage of low-income participants in community action employment initiatives who get a job or become a self-employed as measured by one or more of the following:	Eligible Entities Reporting (#)	Number of Participants Enrolled in Programs(s) (#)	Number of Participants Expected to Achieve Outcome in Reporting Period(Target) (#)	Number of Participants Achieving Outcome in Reporting Period (#)	Percentage Achieving Outcome in Reporting Period (#)
A. Unemployed and obtained a job	18	2710	2415	1540	63.8%
B. Employed and obtained an increase in employment income	13	1385	1311	793	60.5%
C. Achieved "living wage" employment	12	1477	973	747	76.8%

National Performance Indicator 1.2

Employment Supports: The number of low-income participants for whom barriers to initial or continuous employment are reduced or eliminated through assistance from community action as measured by one or more of the following:	Eligible Entities Reporting (#)	Number of Participants Enrolled in Programs(s) (#)	Number of Participants Achieving Outcome in Reporting Period(Target) (#)
A. Obtained pre-employment skills/competencies required for employment and received training program certificate or diploma	12	789	440
B. Completed ABE/GED and received certificate or diploma	13	573	243
C. Completed post-secondary education program and obtained certificate or diploma	10	338	154
D. Enrolled children in before or after school programs, in order to gain or maintain employment	9	984	989
E. Obtained care for child or other dependant in order to gain or maintain employment	6	263	260
F. Obtained access to reliable transportation and/or driver's license in order to gain or maintain employment	6	163	155
G. Obtained health care services for themselves or a family member in support of family stability needed to gain or retain employment	7	248	272
H. Obtained safe and affordable housing in support of family stability needed to gain or retain employment	9	224	227
I. Obtained food assistance in support of family stability needed to gain or retain employment	10	3397	4121

Alabama Community Action Agencies Outcomes of Efforts, FY 2005
National Performance Indicators

Goal 1: Low-income people become more self sufficient.

National Performance Indicator 1.3

Economic Asset Enhancement and Utilization: The number of low-income households that achieve an increase in financial assets and/or financial skills as a result of community action assistance, and the aggregated amount of those assets and resources for all participants achieving the outcome, as measured by one or more of the following:	Eligible Entities Reporting (#)	Number of Participants Enrolled in Programs(s) (#)	Number of Participants Expected to Achieve Outcome in Reporting Period(Target) (#)	Number of Participants Achieving Outcome in Reporting Period (#)	Aggregated Dollar Amounts (Payments, Credits or Savings)	Percentage Achieving Outcome in Reporting Period (%)
Enhancement 1. Number and percent of participants in tax preparation programs who identify any type of Federal or State tax credit and the aggregated dollar amounts of credits	7	785	853	590	\$999,487.00	69.2%
Enhancement 2. Number and percentage obtained court-ordered child support payments and the expected annual aggregated dollar amount of payments	3	134	62	105	7,861.00	169.4%
Enhancement 3. Number and percentage enrolled in telephone lifeline and/or energy discounts with the assistance of the agency and the expected aggregated dollar amount of savings	4	167	364	227	\$8,083.00	62.4%
Utilization 1. Number and percent demonstrating ability to complete and maintain a budget for over 90 days	10	4583	2079	3204	\$13,717.00	154.1%
Utilization 2. Number and percent opening an Individual Development Account (IDA) or other savings account and increased savings, and the aggregated amount of savings		0	0	0	\$0.00	0.0%
Utilization 3a. Number and percent capitalizing a small business with accumulated savings		0	0	0	\$0.00	0.0%
Utilization 3b. Number and percent pursuing post-secondary education with savings	2	153	203	81	\$39,000.00	39.9%
Utilization 3c. Number and percent purchasing a home with accumulated savings	3	118	193	69	\$133,400.00	35.8%

Alabama Community Action Agencies Outcomes of Efforts, FY 2005
National Performance Indicators

Goal 2: The conditions in which low-income people lives are improved.

National Performance Indicator 2.1

Community Improvement and Revitalization: Increase in, or preservation of opportunities and community resources or services for low-income people in the community as a result of community action projects/initiatives or advocacy with other public and private agencies, as measured by one or more of the following:	Eligible Entities Reporting (#)	Number of Projects or Initiatives (#)	Number of Opportunities and/or Community Resources Preserved or Increased (#)
A. Accessible "living wage" jobs created or saved from reduction or elimination in the community	7	50	189
B. Safe and affordable housing units created in the community	9	89	1033
C. Safe and affordable housing units in the community preserved or improved through construction, weatherization or rehabilitation achieved by community action activity or advocacy	15	430	876
D. Accessible and affordable health care services/facilities for low-income people created or saved from reduction or elimination	5	21	574
E. Accessible safe and affordable child care or child development placement opportunities for low-income families created or saved from reduction or elimination	3	30	393
F. Accessible before school and after school program placement opportunities for low-income families created or saved from reduction or elimination	4	13	81
G. Accessible new or expanded transportation resources, or those that are saved from reduction or elimination, that are available to low-income people, including public or private transportation	8	24	742
H. Accessible or increased educational and training placement opportunities, or those that are saved from reduction or elimination, that are available for low-income people in the community, including vocational, literacy, and life skills training, ABE/GED, and post secondary education	8	45	149

Alabama Community Action Agencies Outcomes of Efforts, FY 2005
National Performance Indicators

Goal 2: The conditions in which low-income people lives are improved.

National Performance Indicator 2.2

Common Quality of Life and Assets: The quality of life and assets in low-income neighborhoods are improved by the community action initiative or advocacy, as measured by one or more of the following:	Eligible Entities Reporting (#)	Number of Program Initiatives or Advocacy Efforts (#)	Number of Community Assets, Services, or Facilities Preserved or Increased (#)
A. Increases in community assets as a result of a change in law, regulation or policy, which results in improvements in quality of life and assets.	4	8	133
B. Increase in the availability or preservation of community facilities.	5	46	222
C. Increase in the availability or preservation of community services to improve public health and safety.	5	14	935
D. Increase in the availability or preservation of commercial services within low-income neighborhoods.	4	9	933
E. Increase or preservation of neighborhood quality-of-life resources.	5	15	52

Alabama Community Action Agencies Outcomes of Efforts, FY 2005
National Performance Indicators

Goal 3: Low-income people own a stake in their community.

National Performance Indicator 3.1

Civic Investment: The number of volunteer hours donated to Community Action	Eligible Entities Reporting (#)	Total Number of Volunteer Hours (#)
Total number of hours volunteered to community action.	22	1393770

National Performance Indicator 3.2

Community Empowerment Through Maximum Feasible Participation: The number of low-income people mobilized as a direct result of community action initiative to engage in activities that support and promote their own well-being and that of their community as measured by one or more of the following:	Eligible Entities Reporting (#)	Total Number of Low-Income People (#)
A. Number of low-income people participating in formal community organizations, government, boards or councils that provide input to decision-making and policy setting through community action efforts.	20	820
B. Number of low-income people acquiring businesses in their community as a result of community action assistance.	4	11
C. Number of low-income people purchasing their own homes in their community as a result of community action assistance.	11	94
D. Number of low-income people engaged in no-governance community activities or groups created or supported by community action.	6	1841

Alabama Community Action Agencies Outcomes of Efforts, FY 2005
National Performance Indicators

Goal 4: Partnerships among supporters and providers of services to low-income people are achieved.

National Performance Indicator 4.1

Expanding Opportunities Through Community-Wide Partnerships: The number of organizations, both public and private, community action actively works with to expand resources and opportunities in order to achieve family and community outcomes.	Eligible Entities Reporting (#)	Number of Organizational Partnerships	Number of These Partnerships That Were With Faith-Based Organizations (#)
Number of organizations community action agencies work with to promote family and community outcomes.	21	2490	810

Goal 5: Agencies increase their capacity to achieve results.

National Performance Indicator 5.1

Broadening the Resource Base: The number of dollars mobilized by community action, including amounts and percentages from:	Eligible Entities Reporting (#)	Dollars Mobilized (\$)	Percentage of Total (%)
A. Community Services Block Grant (CSBG)	22	\$9,250,845.00	6.2%
B. Non-CSBG Federal Programs	22	\$112,789,787.00	76.2%
C. State Programs	22	\$1,753,052.00	1.2%
D. Local Public Funding	20	\$6,819,823.00	4.6%
E. Private Sources (including foundations and individual contributors, goods and services donated)	22	\$10,295,735.00	7.0%
F. Value of Volunteer Time	22	\$7,177,914.00	4.8%
Additional			0.0%
TOTAL		\$148,087,156.00	100%

Alabama Community Action Agencies Outcomes of Efforts, FY 2005

Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.

National Performance Indicator: 6.1

Independent Living: The number of vulnerable individuals receiving services from community action that maintain an independent living situation as a result of those services:	Eligible Entities Reporting (#)	Number of Vulnerable Individuals Living Independently (#)
A. Senior Citizens	20	28675
B. Individuals with Disabilities	20	24614

National Performance Indicator 6.2

Emergency Assistance: The number of low-income individuals or families served by community action that sought emergency assistance and the percentage of those households for which assistance was provided, including such services as:	Eligible Entities Reporting (#)	Units of Measurement	Number Seeking Assistance (#)	Number Receiving Assistance (#)	Percentage Achieving Outcome In Reporting Period (%)
A. Food – Indicate your state's unit of measurement, such as bags, packages, cartons, families, individuals, etc.	15		148797	14333	9.6%
B. Emergency Vendor Payments, including Fuel and Energy Bills and Rent/Mortgage Payments	20	Households	127754	112802	88.3%
C. Temporary Shelter	11	Households	1196	624	52.2%
D. Emergency Medical Care	10	Households	477	327	68.6%
E. Protection from Violence	6	Households	36	32	88.9%
F. Legal Assistance	5	Households	162	110	67.9%
G. Transportation	12	Households	1560	1485	95.2%
H. Disaster Relief	16	Households	18668	18200	97.5%
I. Clothing	10	Households	2017	1800	89.2%

Alabama Community Action Agencies Outcomes of Efforts, FY 2005

Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.

National Performance Indicator 6.3

Child and Family Development: The number and percentage of all infants, children, youth, parents, and other adults participating in developmental or enrichment programs that achieve program goals, as measured by one or more of the following:	Eligible Entities Reporting (#)	Number of Participants Enrolled in Program (s) (#)	Number of Participants Expected to Achieve Outcome in Reporting Period (Target) (#)	Number of Participants Achieving Outcome in Reporting Period (#)	Percentage Achieving Outcome in Reporting Period (%)
Infant and Child 1. Infants and children obtain age appropriate immunizations, medical and dental care.	13	10330	10383	9864	95.0%
Infant and Child 2. Infant and child health and physical development are improved as a result of adequate nutrition.	11	9737	8891	9902	111.4%
Infant and Child 3. Children participate in pre-school activities to develop school readiness skills.	12	8098	8204	8051	98.1%
Infant and Child 4: Children who participate in pre-school activities are developmentally ready to enter Kindergarten or 1 st Grade.	12	8002	6721	7064	105.1%
Youth 1. Youth improve physical health and development	6	249	237	227	95.8%
Youth 2. Youth improve social/emotional development.	7	221	228	204	89.5%
Youth 3. Youth avoid risk-taking behavior for a defined period of time.	6	221	221	216	97.7%
Youth 4. Youth have reduced involvement with criminal justice system.	7	439	369	383	103.8%
Youth 5. Youth increase academic, athletic or social skills for school success by participating in before or after school programs.	5	592	535	592	110.7%
Adult 1. Parents and other adults learn and exhibit improved parenting skills.	10	3297	3608	3495	96.9%
Adult 2. Parents and other adults learn and exhibit improved family functioning skills.	8	2434	2834	2775	97.9%